# **EXHIBIT 4**

#### UNITED STATES DISTRICT COURT EASTERN DISTRICT OF ARKANSAS

In re UNITI GROUP INC. SECURITIES ) LITIGATION ) Master File No. 4:19-cv-00756-BSM

CLASS ACTION

This Document Relates To:

ALL ACTIONS.

#### DECLARATION OF LANCE CAVALLO REGARDING (A) MAILING OF NOTICE AND CLAIM FORM; (B) PUBLICATION OF SUMMARY NOTICE; (C) ESTABLISHMENT OF THE TELEPHONE HOTLINE; (D) ESTABLISHMENT OF THE SETTLEMENT WEBSITE; AND (E) <u>REPORT ON REQUESTS FOR EXCLUSION RECEIVED TO DATE</u>

I, Lance Cavallo, declare and state as follows:

1. I am a Vice President of Class Actions at Kurtzman Carson Consultants

LLC ("KCC"). Pursuant to the Court's July 20, 2022 Order Granting Preliminary Approval of Class Action Settlement ("Preliminary Approval Order") (ECF 128), the Court approved the retention of KCC as Claims Administrator in connection with the proposed Settlement of the above-captioned litigation (the "Action").<sup>1</sup> I have personal knowledge of the matters stated herein and, if called upon, could and would testify thereto.

<sup>&</sup>lt;sup>1</sup> All terms with initial capitalization not otherwise defined herein shall have the meanings ascribed to them in the Stipulation and Agreement of Settlement, dated June 17, 2022 (the "Settlement Agreement") (ECF 124).

#### MAILING OF THE NOTICE AND CLAIM FORM

2. Pursuant to the Preliminary Approval Order, KCC is responsible for disseminating notice of the Settlement. Specifically, KCC is responsible for mailing the Notice of Pendency and Proposed Settlement of Class Action and Motion for Attorneys' Fees and Expenses ("Notice") and Proof of Claim and Release Form ("Claim Form") (together, the "Notice Packet"). A copy of the Notice Packet is attached hereto as Exhibit A.

3. In accordance with the Settlement Agreement and Preliminary Approval Order, KCC received a list from the transfer agent of Uniti Group Inc. ("Uniti"), forwarded by Co-Lead Counsel, containing the names and addresses of 3,404 persons or entities who purchased Uniti Securities during the period from April 24, 2015 to June 24, 2019, inclusive. On August 3, 2022, KCC disseminated the Notice Packet by first-class mail to the 3,404 potential Settlement Class Members contained on the list provided by Co-Lead Counsel.

4. As in most class actions of this nature, a large majority of potential Settlement Class Members are beneficial purchasers whose securities were held in "street name" – *i.e.*, the securities were purchased by brokerage firms, banks, institutions and other third-party nominees in the name of the nominee, on behalf of the beneficial purchasers. KCC maintains a proprietary database with the names and addresses of the largest and most common U.S. banks, brokerage firms, and nominees, including national and regional offices of certain nominees (the "Nominee Database"). KCC's Nominee Database is updated from time to time as new nominees are identified, and others merge or cease to

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exist. At the time of the initial mailing, the Nominee Database contained 281 mailing records. On August 3, 2022, KCC caused Notice Packets to be mailed to the 281 mailing records contained in KCC's Nominee Database.

5. The Notice directed those who purchased or otherwise acquired Uniti Securities during the Class Period, for the beneficial interest of persons or entities other than themselves, to provide KCC with the names and addresses (and, if available, email addresses) of each of the beneficial owners.<sup>2</sup> KCC then caused Notice Packets to be mailed promptly to the beneficial owners. Alternatively, nominees could request copies of the Notice Packet, in bulk, from KCC to promptly mail directly to the beneficial owners.

6. KCC also provided a copy of the Notice to the Depository Trust Company ("DTC") for posting on its Legal Notice System ("LENS"). The LENS may be accessed by any broker or other nominee that participates in DTC's security settlement system. The Notice was posted on DTC's LENS on August 3, 2022.

7. Following the initial mailing, through September 28, 2022, KCC has received an additional 111,302 unique names and addresses of potential Settlement Class Members from individuals or nominees requesting that a Notice Packets be mailed to such persons or entities. Additionally, KCC has received requests from nominees for an additional 240,975 Notice Packets for forwarding directly to their customers. All such

<sup>&</sup>lt;sup>2</sup> "Uniti Securities" means the common stock of Uniti Group Inc. formerly known as Communications Sales & Leasing, Inc., the call options of Uniti, the put options of Uniti, or the following bonds issued by Uniti and/or its subsidiaries: (i) 6.00% Senior Secured Notes due April 15, 2023 (CUSIP No. 20341WAA3); (ii) 8.25% Senior Notes due October 15, 2023 (CUSIP No. 20341WAD7); and (iii) 7.125% Senior Unsecured Notes due December 15, 2024 (CUSIP No. 20341WAE5).

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requests have been responded to in a timely manner, and KCC will continue to disseminate Notice Packets upon receipt of any additional requests and/or upon receipt of updated addresses. Additionally, KCC has caused to be re-mailed 440 Notice Packets to potential Settlement Class Members whose original mailing was returned as undeliverable by the United States Post Office. KCC conducted research through the National Change of Address database to find and re-mail Postcard Notices to these potential Settlement Class Members.

8. As a result of the efforts described above, as of September 28, 2022, KCC has mailed a total of 356,402 Notice Packets to potential Settlement Class Members and nominees.

#### **PUBLICATION OF THE SUMMARY NOTICE**

9. Pursuant to the Preliminarily Approval Order, KCC caused the Summary Notice to be published in *The Wall Street Journal* and transmitted over *PR Newswire* on August 17, 2022. Attached hereto as Exhibit B are confirmations of such publication and transmittal.

#### **TELEPHONE HOTLINE**

10. KCC established and continues to maintain a toll-free telephone number (1-844-594-2510) for potential Settlement Class Members to call and obtain information about the Settlement, request a Notice Packet, and/or seek assistance from an operator during regular business hours. The toll-free telephone number is set forth in the Notice, Claim Form, Summary Notice, and on the Settlement Website.

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#### SETTLEMENT WEBSITE

11. To further assist potential Settlement Class Members, KCC, in coordination with Co-Lead Counsel, designed, implemented and currently maintains a website dedicated to the Settlement, <u>www.UnitiGroupSecuritiesLitigation.com</u> (the "Settlement Website"). The address for the Settlement Website is set forth in the Notice, Claim Form, and Summary Notice. The Settlement Website became operational on August 3, 2022, and is accessible 24 hours a day, 7 days a week.

12. The Settlement Website lists the exclusion, objection, and claim submission deadlines, as well as the date and time of the Court's final Settlement Hearing and instructions for joining the video conference. In addition, the Settlement Website contains links to copies of the Stipulation, the Preliminary Approval Order, the Notice, and the Claim Form, all of which can be downloaded by potential Settlement Class Members. The Settlement Website also enables potential Settlement Class Members to file a claim online, and contains detailed instructions for entities that wish to submit claims electronically. KCC will continue operating, maintaining and, as appropriate, updating the Settlement Website until the conclusion of the administration.

#### **REPORT ON REQUESTS FOR EXCLUSION RECEIVED TO DATE**

13. The Notice, Summary Notice, and Settlement Website inform potential Settlement Class Members that requests for exclusion from the Settlement Class must be addressed to *Uniti Group Inc. Securities Litigation*, c/o KCC LLC, EXCLUSIONS, P.O. Box 5100, Larkspur, CA, 94977-5100, such that they are received no later than October 14, 2022. The Notice also sets forth the information that must be included in each request

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for exclusion. As of September 28, 2022, KCC has received six (6) requests for exclusion from the Settlement Class. Attached hereto as Exhibit C is a copy of the six (6) requests for exclusion received.<sup>3</sup> KCC will submit a supplemental declaration after the October 14, 2022 exclusion deadline, which will report on any additional exclusion requests received.

I declare under penalty of perjury that the foregoing is true and correct.

Executed in Wantagh, New York on September 29, 2022.

Lance Cavallo

<sup>&</sup>lt;sup>3</sup> Portions of Exhibit C have been redacted to protect confidential personally identifiable information.

# Exhibit A

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#### UNITED STATES DISTRICT COURT EASTERN DISTRICT OF ARKANSAS

In re UNITI GROUP INC. SECURITIES LITIGATION

Master File No. 4:19-cv-00756-BSM

**CLASS ACTION** 

This Document Relates To: ALL ACTIONS.

#### NOTICE OF PENDENCY AND PROPOSED SETTLEMENT OF CLASS ACTION AND MOTION FOR ATTORNEYS' FEES AND EXPENSES

If you purchased or otherwise acquired Uniti Securities during the period from April 24, 2015 to June 24, 2019, inclusive (the "Class Period") and were allegedly damaged thereby, you may be entitled to a payment from a class action settlement.<sup>1</sup>

#### A Federal Court authorized this Notice. This is not a solicitation from a lawyer.

- This Notice describes important rights you may have and what steps you must take if you wish to participate in the Settlement of this securities class action, wish to object, or wish to be excluded from the Settlement Class.<sup>2</sup>
- Pursuant to the Settlement described below, a \$38.875 million settlement has been reached. Based on Plaintiffs' expert's estimate of the number of damaged Uniti Securities eligible to recover under the Settlement, the average recovery per allegedly damaged common share is estimated to be \$0.10 per share, \$0.005 per option, and \$1.05 per \$1,000 face value of Uniti Notes, before deduction of any Taxes on the income earned on the Settlement Amount, Notice and Administration Expenses, and the attorneys' fees and expenses, as awarded by the Court. Settlement Class Members should note, however, that these are only estimates.
- The Settlement resolves claims by Court-appointed lead plaintiffs Steamfitters Local 449 Pension Plan, Wayne County Employees' Retirement System, and David McMurray, on behalf of himself and as sole beneficiary of the David McMurray R/O IRA (collectively, "Plaintiffs"), and Zhengxu He, Trustee for the He & Fang 2005 Revocable Living Trust, that have been asserted on behalf of the Settlement Class (defined below) against Defendants Uniti Group Inc., Kenneth A. Gunderman and Mark A. Wallace (the "Individual Defendants," and collectively with Uniti, the "Defendants" and, collectively with Plaintiffs and Mr. He, the "Parties"). It avoids the costs and risks of continuing the litigation; pays money to eligible investors; and releases the Released Defendant Parties (defined below) from liability.

#### If you are a Settlement Class Member, your legal rights will be affected by this Settlement whether you act or do not act. Please read this Notice carefully.

YOUR LEGA	L RIGHTS AND OPTIONS IN THIS SETTLEMENT
SUBMIT A CLAIM FORM ON OR	The only way to get a payment. See Question 8 for details.
<b>BEFORE DECEMBER 1, 2022</b>	
EXCLUDE YOURSELF FROM THE	Get no payment from the Settlement. This is the only option that, assuming
SETTLEMENT CLASS ON OR	your claim is timely brought, might allow you to ever bring or be part of any
BEFORE OCTOBER 14, 2022	other lawsuit against Defendants and/or the other Released Defendant
	Parties concerning the Released Claims. See Question 10 for details.
OBJECT ON OR BEFORE	Write to the Court about why you do not like the Settlement, the Plan of
OCTOBER 14, 2022	Allocation for distributing the proceeds of the Settlement, and/or Co-Lead
	Counsel's Fee and Expense Application. If you object, you will still be in the
	Settlement Class. See Question 14 for details.
PARTICIPATE IN A HEARING ON	Ask to speak to the Court at the Settlement Hearing about the Settlement.
NOVEMBER 4, 2022, AND FILE A	See Question 18 for details.
NOTICE OF INTENTION TO APPEAR	
BY OCTOBER 14, 2022	
DO NOTHING	Get no payment. Give up rights. Still be bound by the terms of the
	Settlement.

• These rights and options – and the deadlines to exercise them – are explained below.

The Court in charge of this case still has to decide whether to approve the proposed Settlement. Payments will be
made to Settlement Class Members who timely submit valid Claim Forms, if the Court approves the Settlement,
and after any appeals are resolved.

<sup>&</sup>lt;sup>1</sup> "Uniti Securities" means the common stock of Uniti Group Inc. formerly known as Communications Sales & Leasing, Inc. ("Uniti" or the "Company"), the call options of Uniti, the put options of Uniti, or the following bonds issued by Uniti and/or its subsidiaries: (i) 6.00% Senior Secured Notes due April 15, 2023 (CUSIP No. 20341WAA3); (ii) 8.25% Senior Notes due October 15, 2023 (CUSIP No. 20341WAD7); and (iii) 7.125% Senior Unsecured Notes due December 15, 2024 (CUSIP No. 20341WAE5).

<sup>&</sup>lt;sup>2</sup> The terms of the Settlement are in the Stipulation and Agreement of Settlement, dated as of June 17, 2022 (the "Stipulation"), which can be viewed at www.UnitiGroupSecuritiesLitigation.com. All capitalized terms not defined in this Notice have the same meanings as in the Stipulation.

#### SUMMARY OF THE NOTICE

#### Statement of the Settlement Class's Recovery

Plaintiffs have entered into the proposed Settlement with Defendants, which, if approved by the Court, 1. will resolve the Action in its entirety. Subject to Court approval, Plaintiffs, on behalf of the Settlement Class, have agreed to settle the Action in exchange for a payment of \$38,875,000 in cash (the "Settlement Amount"), which will be deposited into an interest-bearing Escrow Account (the "Settlement Fund"). Based on Plaintiffs' damages expert's estimate, it is estimated that the average recovery, before deduction of any Court-approved fees and expenses, such as attorneys' fees, litigation expenses, awards to Plaintiffs pursuant to the Private Securities Litigation Reform Act of 1995 (the "PSLRA"), Taxes, and Notice and Administration Expenses, would be approximately \$0.10 per allegedly damaged common share, \$1.05 per \$1,000 face value of allegedly damaged Uniti Notes, and \$0.005 per allegedly damaged option. If the Court approves Co-Lead Counsel's Fee and Expense Application (discussed below), the average recovery would be approximately \$0.07 per allegedly damaged common share, \$0.69 per \$1,000 face value of Uniti allegedly damaged Notes, and \$0.003 per allegedly damaged option. These average recovery amounts are only estimates and Settlement Class Members may recover more or less than these estimates. A Settlement Class Member's actual recovery will depend on, for example: (i) the number of claims submitted for each security; (ii) the amount of the Net Settlement Fund; (iii) when, how many and which type of Uniti Securities the Settlement Class Member purchased during the Class Period; and (iv) whether and when the Settlement Class Member sold the Uniti Securities. See the Plan of Allocation beginning on page 10 for information on the calculation of your Recognized Claim.

#### Statement of Potential Outcome of Case if the Action Continued to Be Litigated

2. The Parties disagree about both liability and damages and do not agree about the amount of damages that would be recoverable if Plaintiffs were to prevail on each claim. The issues that the Parties disagree about include, for example: (i) whether Defendants made any statements or omitted any facts that were materially false or misleading, or otherwise actionable under the federal securities laws; (ii) whether any such statements or omissions were made with the requisite level of intent or recklessness; (iii) the amounts by which the prices of Uniti's Securities were allegedly artificially inflated (or deflated in the case of put options), if at all, during the Class Period; and (iv) the extent to which factors unrelated to the alleged statements or omissions, such as general market, economic, and industry conditions, influenced the trading prices of Uniti's Securities during the Class Period. The foregoing list is not exhaustive.

3. Defendants have denied and continue to deny any and all allegations of wrongdoing or fault asserted in the Action, deny that they have committed any act or omission giving rise to any liability or violation of law, and deny that Plaintiffs and the Settlement Class have suffered any loss attributable to Defendants' actions or omissions.

#### Statement of Attorneys' Fees and Expenses Sought

4. Co-Lead Counsel will apply to the Court, on behalf of all Plaintiffs' Counsel, for an award of attorneys' fees from the Settlement Fund in an amount not to exceed 30% of the Settlement Fund, *i.e.*, \$11,662,500, plus accrued interest at the same rate earned by the Settlement Fund, if any.<sup>3</sup> Co-Lead Counsel will also apply for payment of litigation expenses incurred in prosecuting the Action in an amount not to exceed \$1,600,000, plus accrued interest at the same rate earned by the Settlement Fund, which may include an application pursuant to the PSLRA for the reasonable costs and expenses (including lost wages) of Plaintiffs directly related to their representation of the Settlement Class. If the Court approves Co-Lead Counsel's Fee and Expense Application in full, the average amount of fees and expenses is estimated to be approximately \$0.03 per allegedly damaged common share, \$0.002 per option, and \$0.36 per \$1,000 face value of Uniti Notes. A copy of the Fee and Expense Application will be posted on www.UnitiGroupSecuritiesLitigation.com after it has been filed with the Court.

#### **Reasons for the Settlement**

5. For Plaintiffs, the principal reason for the Settlement is the guaranteed cash benefit to the Settlement Class. This benefit must be compared to the uncertainty of being able to prove the allegations in the Amended Complaint; the risk that the Court may not certify the class and may grant some or all of the anticipated summary judgment motions to be filed by Defendants; the uncertainty of a greater recovery after a trial and appeals; and the difficulties and delays inherent in such litigation.

6. For Defendants, who deny all allegations of wrongdoing or liability whatsoever and deny that Settlement Class Members were damaged, the principal reasons for entering into the Settlement are to end the burden, expense, uncertainty, and risk of further litigation.

#### Identification of Representatives

7. Plaintiffs and the Settlement Class are represented by Co-Lead Counsel: Christine M. Fox, Esq., Labaton Sucharow LLP, 140 Broadway, New York, NY 10005, (888) 219-6877, settlementquestions@labaton.com, and Debra J. Wyman, Esq., Robbins Geller Rudman & Dowd LLP, 655 W. Broadway, Suite 1900, San Diego, CA 92101, (800) 449-4900; settlementinfo@rgrdlaw.com.

8. Further information regarding the Action, the Settlement, and this Notice may be obtained by contacting the Claims Administrator: *Uniti Group Inc. Securities Litigation*, c/o KCC LLC, P.O. Box 6159, Novato, CA 94948-6159, (844) 594-2510, www.UnitiGroupSecuritiesLitigation.com.

<sup>&</sup>lt;sup>3</sup> Plaintiffs' Counsel are Labaton Sucharow LLP, Robbins Geller Rudman & Dowd LLP, Paton Tidwell Culbertson, LLP, Schall Law Firm, Thornton Law Firm LLP, and Glancy Prongay & Murray LLP.

#### Please Do Not Call the Court with Questions About the Settlement.

#### **BASIC INFORMATION**

#### 1. Why did I get this Notice?

9. The Court authorized that this Notice be sent to you because you or someone in your family may have purchased certain Uniti Securities (and/or sold put options on Uniti common stock) during the period from April 24, 2015 to June 24, 2019, inclusive (the "Class Period"). Receipt of this Notice does not mean that you are a Member of the Settlement Class or that you will be entitled to receive a payment. The Parties do not have access to your individual investment information. If you wish to be eligible for a payment, you are required to submit the Claim Form that is being distributed with this Notice. See Question 8 below.

10. The Court directed that this Notice be sent to Settlement Class Members because they have a right to know about the proposed Settlement of this class action lawsuit, and about all of their options, before the Court decides whether to approve the Settlement.

11. The Court in charge of the Action is the United States District Court for the Eastern District of Arkansas, and the case is known as *In re Uniti Group Inc. Securities Litigation*, Master File No. 4:19-cv-00756-BSM. The Action is assigned to the Honorable Brian S. Miller, United States District Judge.

#### 2. How do I know if I am part of the Settlement Class?

12. By the Preliminary Approval Order, the Court preliminarily certified the Action as a class action on behalf of the following Settlement Class. Everyone who fits the following description is a Settlement Class Member and subject to the Settlement unless they are an excluded person (see Question 3 below) or take steps to exclude themselves from the Settlement Class (see Question 10 below):

All persons and entities who or which, during the period from April 24, 2015 to June 24, 2019, inclusive, (1) purchased or otherwise acquired (a) the common stock of Uniti, formerly known as Communications Sales & Leasing, Inc.; (b) call options of Uniti; or (c) the following bonds issued by Uniti and/or its subsidiaries: (i) 6.00% Senior Secured Notes due April 15, 2023 (CUSIP No. 20341WAA3); (ii) 8.25% Senior Notes due October 15, 2023 (CUSIP No. 20341WAD7); or (iii) 7.125% Senior Unsecured Notes due December 15, 2024 (CUSIP No. 20341WAE5); or (2) sold put options of Uniti; and, in each of the foregoing cases, were allegedly damaged thereby.

13. If one of your mutual funds purchased Uniti Securities and/or sold put options on Uniti common stock during the Class Period, that does not make you a Settlement Class Member, although your mutual fund may be. You are a Settlement Class Member only if you individually purchased Uniti Securities and/or sold put options on Uniti common stock during the Class Period. Check your investment records or contact your broker to see if you have any eligible purchases (or sales of put options on Uniti common stock). The Parties do not independently have access to your trading information.

#### 3. Is anyone excluded from the Settlement Class?

14. Yes. There are some individuals and entities who are excluded from the Settlement Class by definition. Excluded from the Settlement Class are: (i) Defendants; (ii) members of the Immediate Family of any Defendant who is an individual; (iii) any person who was an officer or director of Uniti during the Class Period; (iv) any firm, trust, corporation, or other entity in which any Defendant has or had a controlling interest; (v) Uniti's employee retirement and benefit plan(s) (as well as any such plan's participants or beneficiaries as to any purchases made by such participants or beneficiaries through such plan(s)); (vi) Windstream Holdings, Inc. ("Windstream"); (vii) any person who was an officer or director of Windstream during the Class Period; and (viii) the legal representatives, affiliates, heirs, successors-in-interest, or assigns of any such excluded person. Also excluded from the Settlement Class is any person or entity who or which timely and validly seeks exclusion from the Settlement Class in accordance with the procedures described in Question 10 below.

#### 4. Why is this a class action?

15. In a class action, one or more persons or entities (in this case, Plaintiffs) sue on behalf of people and entities who have similar claims. Together, these people and entities are a "class," and each is a "class member." A class action allows one court to resolve, in a single case, many similar claims that, if brought separately by individual people or entities, might be too small economically to litigate. One court resolves the issues for all class members at the same time, except for those who exclude themselves, or "opt-out," from the class. In this Action, the Court has appointed Steamfitters Local 449 Pension Plan, Wayne County Employees' Retirement System, and David McMurray, on behalf of himself and as sole beneficiary of the David McMurray R/O IRA, to serve as lead plaintiffs and Labaton Sucharow LLP and Robbins Geller Rudman & Dowd LLP to serve as Co-Lead Counsel.

#### 5. What is this case about and what has happened so far?

16. Windstream is a telecommunications provider that owns and operates wireless telecommunications networks. In April 2015, Windstream spun-off certain of its telecom distribution assets into a separate, publicly traded company called Communications Sales & Leasing, Inc. and which is now called Uniti Group Inc. (the "Spin-Off"). In connection with the Spin-Off, Uniti agreed to lease its telecom distribution assets to Windstream, which, through its operating subsidiaries, continued to use and operate those assets as it had before the Spin-Off. Plaintiffs allege that the Spin-Off transaction violated restrictive covenants governing Windstream's then-existing debt, which included a prohibition on sale-leaseback transactions and limitations on the amount of additional debt Windstream could undertake. Defendants dispute these allegations.

17. On October 25, 2019, a securities class action complaint was filed in the U.S. District Court for the Eastern District of Arkansas, styled *Safadi v. Uniti Group Inc. et al.*, No. 4:19-cv-00756-BSM. The complaint asserted claims (1) against all Defendants for violations of Section 10(b) of the Securities Exchange Act of 1934 (the "Exchange Act") and Rule 10b-5 promulgated thereunder; and (2) against all Individual Defendants for violations of Section 20(a) of the Exchange Act related to the Spin-Off transaction. Plaintiff asserted such claims on behalf of all persons who purchased or acquired Uniti securities during a class period of April 20, 2015 and February 19, 2019, inclusive.

18. On December 6, 2019, a securities class action complaint was filed in the U.S. District Court for the Eastern District of Arkansas, styled *Queder v. Uniti Group Inc. et al.*, No. 4:19-cv-00873-BSM. The complaint asserted claims (1) against all Defendants for violations of Section 10(b) of the Exchange Act and Rule 10b-5 promulgated thereunder; and (2) against all Individual Defendants for violations of Section 20(a) of the Exchange Act related to the Spin-Off transaction. Plaintiff asserted such claims on behalf of all persons who purchased or acquired Uniti securities during a class period of April 20, 2015 and February 19, 2019, inclusive.

19. On December 23, 2019, a securities class action complaint was filed in the U.S. District Court for the Eastern District of Arkansas, styled *Avery v. Uniti Group Inc. et al.*, No. 4:19-cv-00927-LPR. The complaint asserted claims (1) against all Defendants for violations of Section 10(b) of the Exchange Act and Rule 10b-5 promulgated thereunder; and (2) against all Individual Defendants for violations of Section 20(a) of the Exchange Act related to the Spin-Off transaction. Plaintiff asserted such claims on behalf of all persons who purchased or acquired Uniti common stock during a class period of April 20, 2015 and June 24, 2019, inclusive.

20. On December 30, 2019, Plaintiffs moved to serve as co-lead plaintiffs. That same day, Bradford Maupin and Ira Pence, Conrad and Criselda Von Wald, Troy Bourque, and Zhengxu He, Trustee for the He & Fang 2005 Revocable Living Trust also moved to serve as lead plaintiffs. All movants also requested that the *Queder* and *Avery* Actions be consolidated into the *Safadi* Action.

21. On January 13, 2020, Plaintiffs and Mr. He filed a proposed stipulation for appointment of Plaintiffs and Mr. He as co-lead plaintiffs, consolidation of the *Queder* and *Avery* Actions into the *Safadi* Action, retitling of the *Safadi* Action as "*In re Uniti Group Inc. Securities Litigation*," (the "Action") and for approval of Plaintiffs and Mr. He's selection of Labaton Sucharow LLP and Robbins Geller Rudman & Dowd LLP as Co-Lead Counsel for the class. The stipulation noted that all other movants had either withdrawn their motions to serve as lead plaintiff or had filed non-oppositions to Plaintiffs and Mr. He's appointment as co-lead plaintiffs. On April 14, 2020, the Court entered an order giving effect to the stipulation.

22. On May 11, 2020, Plaintiffs and Mr. He filed a Consolidated Amended Class Action Complaint (the "Amended Complaint"). The Amended Complaint asserted claims (1) against all Defendants pursuant to Section 10(b) of the Exchange Act and Rule 10b-5 promulgated thereunder; and (2) against the Individual Defendants pursuant to Section 20(a) of the Exchange Act related to the Spin-Off transaction. Plaintiffs and Mr. He asserted such claims on behalf of all persons who purchased or otherwise acquired the publicly traded securities of Uniti during a class period of April 24, 2015 to June 24, 2019, inclusive.

23. On July 10, 2020, Defendants filed a motion to dismiss the Amended Complaint. On September 8, 2020, Plaintiffs and Mr. He opposed the motion to dismiss. On October 23, 2020, Defendants filed a reply in further support of their motion to dismiss. During November and December 2020, the Parties submitted supplemental authority in support of their respective positions related to Defendants' motion to dismiss.

24. On March 31, 2021, the Court denied Defendants' motion to dismiss.

25. On April 15, 2021, Defendants filed an Answer to the Amended Complaint and a Motion for Reconsideration or, in the Alternative, Certification for Interlocutory Appeal. On April 29, 2021, Plaintiffs and Mr. He opposed Defendants' motion for reconsideration. On August 2, 2021, Defendants filed a reply in further support of their motion for reconsideration.

26. On December 22, 2021, the Court denied Defendants' motion for reconsideration or certification of interlocutory appeal.

27. During the course of the Action, the Parties engaged in extensive discovery.

28. In response to document requests and subpoenas, Plaintiffs received approximately 90,000 documents (more than 1,000,000 pages) from Defendants, non-parties, and from related litigations. Plaintiffs produced over 300 documents (or approximately 12,000 pages) in connection with class certification discovery. In addition, Lead Plaintiff McMurray, and representatives of Lead Plaintiffs Local 449 and Wayne County ERS, sat for depositions taken by Defendants. Defendants also deposed Plaintiffs' market efficiency expert, Mr. Chad Coffman, the President of Global Economics Group, a firm that specializes in the application of economics, finance, statistics, and valuation principles to claims of economic damages, regarding issues of damages and market efficiency. Plaintiffs deposed Defendants' market efficiency expert, Christopher M. James, William H. Dial/Sun Bank Eminent Scholar and Professor of Finance and Economics at the University of Florida, concerning issues related to Mr. Coffman's market efficiency opinions. Plaintiffs also took depositions of eight current or former employees of Uniti and non-party Windstream Holdings, Inc., before the case settled.

29. On October 25, 2021, Plaintiffs moved for class certification, seeking appointment of Plaintiffs as class representatives and seeking to certify a class of all persons and entities that purchased or otherwise acquired the publicly traded common stock or call options or sold the put options of Uniti during the period from April 24, 2015 to June 24, 2019, inclusive, and were damaged thereby. Mr. He did not join in the motion for class certification. An expert report by Mr. Coffman was filed in support of the motion for class certification. On January 24, 2022, Plaintiffs submitted a correction to Mr. Coffman's Report.

30. On December 23, 2021, Defendants filed an opposition to Plaintiffs' motion for class certification. An expert report by Dr. James was also submitted in opposition to Plaintiffs' motion for class certification.

31. On February 22, 2022, Plaintiffs filed a reply in further support of their motion for class certification. Filed concurrently therewith was a rebuttal report from Mr. Coffman in further support of Plaintiffs' motion for class certification.

32. On March 24, 2022, the Parties participated in a full-day mediation session before David Murphy of Phillips ADR (the "Mediator"). In advance of that session, the Parties provided detailed mediation statements and exhibits to the Mediator, which addressed issues of liability, class certification, and damages. The Parties also participated in individual sessions with the Mediator and answered questions from the Mediator about the Action. No agreement was reached at the March 24, 2022 mediation session.

33. On March 25, 2022, the Mediator made a formal mediator's proposal that the case settle for \$38.875 million. The Parties accepted this proposal and subsequently began negotiating a term sheet, the settlement agreement and its supporting exhibits, including this Notice.

#### 6. What are the reasons for the Settlement?

34. The Court did not finally decide in favor of Plaintiffs or Defendants. Instead, both sides agreed to a settlement. Plaintiffs and Co-Lead Counsel believe that the claims asserted in the Action have merit. They recognize, however, the expense and length of continued proceedings needed to pursue the claims through trial and appeals, as well as the difficulties in establishing liability. Assuming the claims proceeded to trial, the Parties would present factual and expert testimony on each of the disputed issues, and there is risk that the Court or jury would resolve these issues unfavorably against Plaintiffs and the Settlement Class. In light of the Settlement and the substantial guaranteed cash recovery to the Settlement Class, Plaintiffs and Co-Lead Counsel believe that the proposed Settlement is fair, reasonable, and adequate, and in the best interests of the Settlement Class.

35. Defendants have denied and continue to deny any wrongdoing whatsoever, including each and every one of the claims alleged by Plaintiffs in the Action, all claims in the Amended Complaint, and any allegation that they have committed any act or omission giving rise to any liability or violation of law. Defendants deny the allegations that they made any material misstatements or omissions; that any member of the Settlement Class has suffered damages; that the prices of Uniti Securities were artificially inflated (or deflated in the case of put options) by reason of the alleged misrepresentations, omissions, or otherwise; or that members of the Settlement Class were harmed by the conduct alleged. Nonetheless, Defendants have agreed to the Settlement to eliminate the burden and expense of continued litigation, and the Settlement may not be construed as an admission of any wrongdoing by Defendants in this or any other action or proceeding.

#### THE SETTLEMENT BENEFITS

#### 7. What does the Settlement provide?

36. In exchange for the Settlement and the release of the Released Claims against the Released Defendant Parties (see Question 9 below), Defendants have agreed to cause a \$38.875 million cash payment to be made, which, along with any interest earned, will be distributed after deduction of Court-awarded attorneys' fees and litigation expenses, Notice and Administration Expenses, Taxes, and any other fees or expenses approved by the Court (the "Net Settlement Fund"), to Settlement Class Members who submit valid and timely Claim Forms and are found to be eligible to receive a distribution from the Net Settlement Fund.

#### 8. How can I receive a payment?

37. To qualify for a payment from the Net Settlement Fund, you must submit a timely and valid Claim Form. A Claim Form is included with this Notice. You may also obtain one from the website dedicated to the Settlement: www.UnitiGroupSecuritiesLitigation.com, or submit a claim online at www.UnitiGroupSecuritiesLitigation.com. You can also request that a Claim Form be mailed to you by calling the Claims Administrator toll-free at (844) 594-2510.

38. Please read the instructions contained in the Claim Form carefully, fill out the Claim Form, include all the documents the form requests, sign it, and mail or submit it to the Claims Administrator so that it is postmarked or received *no later than December 1, 2022.* 

#### 9. What am I giving up to receive a payment and by staying in the Settlement Class?

39. If you are a Settlement Class Member and do not timely and validly exclude yourself from the Settlement Class, you will remain in the Settlement Class, which means that, upon the "Effective Date" of the Settlement, you will release all "Released Claims" against the "Released Defendant Parties." All of the Court's orders in the Action, whether favorable or unfavorable, will apply to you and legally bind you.

"Released Claims" means any and all past, present, or future claims and causes of action, rights, (a) actions, suits, obligations, debts, demands, judgments, agreements, promises, liabilities, damages, losses, controversies, costs, penalties, expenses or attorney fees, of every nature and description whatsoever, whether direct or indirect, suspected or unsuspected, known or Unknown Claims (as defined below), asserted or unasserted, disclosed or undisclosed, apparent or not apparent, foreseen or unforeseen, contingent or absolute, matured or not matured, liquidated or unliquidated, accrued or not accrued, concealed or hidden, class or individual in nature, based in law or equity, or based on contract, tort, or other legal or equitable theory, including, without limitation, any claims of violations of federal or state securities laws, any federal, state, local, common, or foreign law, statute, rule or regulation, or other legal or equitable claims of fraud, intentional misrepresentation, negligent misrepresentation, negligence, gross negligence, breach of duty of care and/or breach of duty of loyalty, breach of fiduciary duty or breach of contract, that Plaintiffs, Mr. He or any other member of the Settlement Class: (a) asserted in the Action; or (b) could have asserted in the Action or any forum that arise out of, are based upon, or relate to, in whole or part, both (1) the allegations, transactions, facts, matters or occurrences, representations, statements, or omissions alleged in the Action, including, but not limited to, as alleged in the complaint filed in the Action and (2) the purchase, sale, or acquisition of Uniti Securities during the Class Period. Released Claims shall not include claims to enforce the Settlement, ERISA claims (if any), and claims in the following litigation: (i) Mayer et al. v. Gunderman et al., 24-C-21-003488 (Md. Cir. Ct. Balt. City); (ii) Guzzo v. Gunderman et al., 1:22-cv-00366-GLR (D. Md.); and (iii) SLF Holdings. LLC v. Uniti Fiber Holdings, Inc., No. 1:19-cv-01813-LPS (D. Del.).

(b) "Released Defendant Parties" means Defendants, and each of their respective past or present or future predecessors, successors, parent corporations, sister corporations, subsidiaries, affiliates, principals, assigns, assignors, legatees, devisees, executors, administrators, estates, heirs, spouses, Immediate Family, receivers and trustees, settlors, beneficiaries, officers, directors, members, shareholders, employees, independent contractors, servants, agents, partners, insurers, reinsurers, representatives, attorneys, legal representatives, auditors, accountants, advisors, and successors-in-interest, in their capacities as such.

(c) "Unknown Claims" means any and all Released Claims that Plaintiffs, Mr. He, and any other members of the Settlement Class do not know or suspect to exist in his, her, or its favor at the time of the release of the Released Defendant Parties, and any and all Released Defendants' Claims that any Defendant does not know or suspect to exist in his, her, or its favor at the time of the release of the Released Plaintiff Parties, which if known by him, her, or it might have affected his, her, or its decision(s) with respect to the Settlement, including the decision to object to the terms of the Settlement or to exclude himself, herself, or itself from the Settlement Class. With respect to any and all Released Claims and Released Defendants' Claims, the Parties stipulate and agree that, upon the Effective Date, Plaintiffs, Mr. He and Defendants shall expressly, and each Settlement Class Member shall be deemed to have, and by operation of the Judgment or Alternative Judgment shall have, expressly waived and relinquished any and all provisions, rights and benefits conferred by any law of any state or territory of the United States or foreign law, or principle of common law that is similar, comparable, or equivalent to California Civil Code §1542, which provides:

A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS THAT THE CREDITOR OR RELEASING PARTY DOES NOT KNOW OR SUSPECT TO EXIST IN HIS OR HER FAVOR AT THE TIME OF EXECUTING THE RELEASE AND THAT, IF KNOWN BY HIM OR HER, WOULD HAVE MATERIALLY AFFECTED HIS OR HER SETTLEMENT WITH THE DEBTOR OR RELEASED PARTY.

Plaintiffs, Mr. He, other Settlement Class Members, and Defendants acknowledge that they may hereafter discover facts, legal theories, or authorities in addition to or different from those which any of them now knows, suspects, or believes to be true with respect to the Action, the Released Claims, or the Released Defendants' Claims, but Plaintiffs, Mr. He and Defendants shall expressly, fully, finally, and forever settle and released, and upon the Effective Date and by operation of the

Judgment or Alternative Judgment shall have settled and released, fully, finally, and forever, any and all Released Claims and Released Defendants' Claims as applicable, without regard to the subsequent discovery or existence of such different or additional facts, legal theories, or authorities. Plaintiffs, Mr. He and Defendants acknowledge, and all Settlement Class Members by operation of law shall be deemed to have acknowledged, that the inclusion of "Unknown Claims" in the definition of Released Claims and Released Defendants' Claims, including the foregoing waiver, was separately bargained for and was a material element of the Settlement.

40. The "Effective Date," defined more fully in the Stipulation, will occur when an Order entered by the Court approving the Settlement becomes Final and is not subject to appeal. Upon the Effective Date, the Released Defendant Parties will also provide a release of any claims against the Released Plaintiff Parties arising out of or related to the institution, prosecution, or settlement of the claims in the Action.

#### **EXCLUDING YOURSELF FROM THE SETTLEMENT CLASS**

41. If you want to keep any right you may have to sue or continue to sue Defendants and the other Released Defendant Parties on your own concerning the Released Claims, then you must take steps to remove yourself from the Settlement Class. This is called excluding yourself or "opting out." **Please note:** If you decide to exclude yourself from the Settlement Class, there is a risk that any lawsuit you may file may be dismissed, including because the suit is not filed within the applicable time periods required for filing suit. Defendants have the option to terminate the Settlement if an agreed threshold of opt-outs is reached.

#### 10. How do I exclude myself from the Settlement Class?

42. To exclude yourself from the Settlement Class, you must mail a signed letter stating that you request to be "excluded from the Settlement Class in *In re Uniti Group Inc. Sec. Litig.*, Master File No. 4:19-cv-00756-BSM (E.D. Ark.)." You cannot exclude yourself by telephone or e-mail. Each request for exclusion must also: (i) state the name, address, and telephone number of the person or entity requesting exclusion; (ii) state the number and type of Uniti Securities the person or entity purchased, acquired, and sold during the Class Period, as well as the dates and prices of each such purchase, acquisition, and sale; and (iii) be signed by the Person requesting exclusion or an authorized representative. A request for exclusion must be mailed so that it is **received no later than October 14, 2022** at:

Uniti Group Inc. Securities Litigation c/o KCC LLC EXCLUSIONS P.O. Box 5100 Larkspur, CA 94977-5100

43. This information is needed to determine whether you are a member of the Settlement Class. Your exclusion request must comply with these requirements in order to be valid.

44. If you ask to be excluded, do not submit a Claim Form because you cannot receive any payment from the Net Settlement Fund. Also, you cannot object to the Settlement because you will not be a Settlement Class Member and the Settlement will not affect you. If you submit a valid exclusion request, you will not be legally bound by anything that happens in the Action, and you may be able to sue (or continue to sue) Defendants and the other Released Defendant Parties in the future.

11. If I do not exclude myself, can I sue Defendants and the other Released Defendant Parties for the same reasons later?

45. No. Unless you properly exclude yourself, you will give up any rights to sue Defendants and the other Released Defendant Parties for any and all Released Claims. If you have a pending lawsuit against any of the Released Defendant Parties, speak to your lawyer in that case immediately. You must exclude yourself from this Settlement Class to continue your own lawsuit, assuming that lawsuit was timely brought. Remember, the exclusion deadline is October 14, 2022.

#### THE LAWYERS REPRESENTING YOU

#### 12. Do I have a lawyer in this case?

46. Labaton Sucharow LLP and Robbins Geller Rudman & Dowd LLP are Co-Lead Counsel in the Action and represent all Settlement Class Members. You will not be separately charged for the work of Co-Lead Counsel and the other Plaintiffs' Counsel. The Court will determine the amount of Plaintiffs' Counsel's attorneys' fees and litigation expenses, which will be paid from the Settlement Fund. If you want to be represented by your own lawyer, you may hire one at your own expense.

#### 13. How will the lawyers get paid?

47. Co-Lead Counsel, together with Plaintiffs' Counsel, have been prosecuting the Action on a contingent basis and have not been paid for any of their work. Co-Lead Counsel will apply to the Court, on behalf of themselves and the other Plaintiffs' Counsel firms, for an award of attorneys' fees of no more than 30% of the Settlement Fund, which will include any accrued interest. Co-Lead Counsel have agreed to share the awarded attorneys' fees with Plaintiffs' Counsel. Payment to the other Plaintiffs' Counsel firms will in no way increase the fees that are deducted from the Settlement Fund. Co-Lead Counsel will also seek payment of litigation expenses incurred by Plaintiffs' Counsel in the prosecution and settlement of the Action of no more than \$1,600,000, plus accrued interest, if any, which may include an application in accordance with the PSLRA for the reasonable costs and expenses (including lost wages) of Plaintiffs directly related to their representation of the Settlement Class. As explained above, any attorneys' fees and expenses awarded by the Court will be paid from the Settlement Fund. Settlement Class Members are not personally liable for any such fees or expenses.

#### OBJECTING TO THE SETTLEMENT, THE PLAN OF ALLOCATION, OR THE FEE AND EXPENSE APPLICATION

#### 14. How do I tell the Court that I do not like something about the proposed Settlement?

48. If you are a Settlement Class Member, you can object to the Settlement or any of its terms, the proposed Plan of Allocation of the Net Settlement Fund, and/or Co-Lead Counsel's Fee and Expense Application. You may write to the Court about why you think the Court should not approve any or all of the Settlement terms or related relief. If you would like the Court to consider your views, you must file a proper objection within the deadline, and according to the following procedures.

49. To object, you must send a signed letter stating that you object to the proposed Settlement, the Plan of Allocation, and/or the Fee and Expense Application in "*In re Uniti Group Inc. Sec. Litig.*, Master File No. 4:19-cv-00756-BSM (E.D. Ark.)." The objection must also: (i) state the name, address, telephone number, and email address of the objector and must be signed by the objector, even if the objector is represented by counsel; (ii) contain a statement of the Settlement Class Member's objection or objections and the specific reasons for the objection, including whether it applies only to the objector, to a specific subset of the Settlement Class, or to the entire Settlement Class, and any legal and evidentiary support (including witnesses) the Settlement Class Member wishes to bring to the Court's attention; and (iii) include documents sufficient to show the objector's membership in the Settlement Class, including the number and type of Uniti Securities purchased, acquired, and sold during the Class Period, as well as the dates and prices of each such purchase, acquisition, and sale. Unless otherwise ordered by the Court, any Settlement Class Member who does not object in the manner described in this Notice will be deemed to have waived any objection and will be foreclosed from making any objection to the proposed Settlement, the Plan of Allocation, and/or Co-Lead Counsel's Fee and Expense Application. Your objection must be filed with the Court *no later than October 14, 2022* and be mailed or delivered to the following counsel so that it is *received no later than October 14, 2022*:

Court	Co-Lead Counsel	Defendants' Counsel Representative
<b>Clerk of the Court</b> United States District Court Eastern District of Arkansas Richard Sheppard Arnold U.S. Courthouse 500 West Capitol Avenue Little Rock, AR 72201	Robbins Geller Rudman & Dowd LLPDebra J. Wyman, Esq.655 W. Broadway, Suite 1900San Diego, CA 92101- and -Labaton Sucharow LLPChristine M. Fox, Esq.140 BroadwayNew York, NY 10005	Davis Polk & Wardwell LLP Brian M. Burnovski, Esq. 450 Lexington Avenue New York, NY 10017

50. You do not need to participate in the Settlement Hearing to have your written objection considered by the Court. However, any Settlement Class Member who has complied with the procedures described in this Question 14 and below in Question 18 may participate in the Settlement Hearing and be heard, to the extent allowed by the Court. An objector may participate on their own or arrange, at his, her, or its own expense, for a lawyer to represent him, her, or it at the Settlement Hearing. Instructions for participating are detailed below in Question 16 and posted at www.UnitiGroupSecuritiesLitigation.com.

#### 15. What is the difference between objecting and seeking exclusion?

51. Objecting is telling the Court that you do not like something about the proposed Settlement, Plan of Allocation, or Co-Lead Counsel's Fee and Expense Application. You can still recover money from the Settlement. You can object only if you stay in the Settlement Class. Excluding yourself is telling the Court that you do not want to be part of the Settlement Class. If you exclude yourself from the Settlement Class, you have no basis to object because the Settlement and the Action no longer affect you.

#### THE SETTLEMENT HEARING

#### 16. When and where will the Court decide whether to approve the Settlement?

52. The Court will hold the Settlement Hearing on November 4, 2022 at 11:00 a.m. CDT, remotely, via videoconference on Microsoft Teams. To join on your computer or mobile app, please download Microsoft Teams and enter 265 481 733 390 for the meeting ID and RCEzJP for the passcode. To join with a videoconferencing device, go to teams@meet.vc.uscourts.gov and enter 118 555 112 9 for the meeting ID.

53. At this hearing, the Court will consider whether: (i) the Settlement is fair, reasonable, adequate, and should be approved; (ii) the Plan of Allocation is fair and reasonable, and should be approved; and (iii) the application of Co-Lead Counsel for an award of attorneys' fees and payment of litigation expenses is reasonable and should be approved. The Court will take into consideration any written objections filed in accordance with the instructions in Question 14 above. We do not know how long it will take the Court to make these decisions.

54. The Court may change the date and time of the Settlement Hearing without another individual notice being sent to Settlement Class Members. If you want to participate in the hearing, you should check with Co-Lead Counsel beforehand to be sure that the date and/or time and procedures for participating have not changed, or periodically check the Settlement website at www.UnitiGroupSecuritiesLitigation.com to see if the Settlement Hearing stays as scheduled or is changed.

#### 17. Do I have to Participate in the Settlement Hearing?

55. No. Co-Lead Counsel will answer any questions the Court may have. But, you are welcome to participate at your own expense. If you submit a valid and timely objection, the Court will consider it and you do not have to participate at the hearing to discuss it. You may have your own lawyer participate (at your own expense), but it is not required. If you do hire your own lawyer, he or she must file and serve a Notice of Appearance in the manner described in the answer to Question 18 below **no later than October 14, 2022**.

#### 18. May I speak at the Settlement Hearing?

56. You may ask the Court for permission to speak at the Settlement Hearing. To do so, you must, **no later than October 14, 2022**, submit a statement that you, or your attorney, intend to appear in "*In re Uniti Group Inc. Sec. Litig.*, Master File No. 4:19-cv-00756-BSM (E.D. Ark.)." If you intend to present evidence at the Settlement Hearing, you must also include in your objection (prepared and submitted according to the answer to Question 14 above) the identities of any witnesses you may wish to call to testify and any exhibits you intend to introduce into evidence at the Settlement Hearing. You may not speak at the Settlement Hearing if you exclude yourself from the Settlement Class or if you have not provided written notice of your intention to speak at the Settlement Hearing in accordance with the procedures described in this Question 18 and Question 14 above.

#### IF YOU DO NOTHING

#### 19. What happens if I do nothing at all?

57. If you do nothing and you are a member of the Settlement Class, you will receive no money from this Settlement and you will be precluded from starting a lawsuit, continuing with a lawsuit, or being part of any other lawsuit against Defendants and the other Released Defendant Parties concerning the Released Claims. To share in the Net Settlement Fund, you must submit a Claim Form (see Question 8 above). To start, continue, or be a part of any other lawsuit against Defendants and the other Released Defendant Parties concerning the Released Claims, you must exclude yourself from the Settlement Class (see Question 10 above).

#### **GETTING MORE INFORMATION**

#### 20. Are there more details about the Settlement?

58. This Notice summarizes the proposed Settlement. More details are contained in the Stipulation. You may review the Stipulation filed with the Court or other documents in the case during business hours at the office of the Clerk of the Court, United States District Court for the Eastern District of Arkansas, Richard Sheppard Arnold U.S. Courthouse, 500 West Capitol Avenue, Little Rock, Arkansas 72201. (Please check the Court's website, www.are.uscourts.gov, for information about Court closures before visiting.) Subscribers to PACER, a fee-based service, can also view the papers filed publicly in the Action through the Court's online Case Management/Electronic Case Files System at https://www.pacer.gov.

59. You can also get a copy of the Stipulation, and other documents related to the Settlement, as well as additional information about the Settlement, by visiting the website dedicated to the Settlement, www.UnitiGroupSecuritiesLitigation.com. You may also call the Claims Administrator toll-free at (844) 594-2510 or write to the Claims Administrator at *Uniti Group Inc. Securities Litigation*, c/o KCC LLC, P.O. Box 6159, Novato, CA 94948-6159. Please do not call the Court with questions about the Settlement.

#### 21. How will my claim be calculated?

60. The Plan of Allocation set forth below is the plan for calculating claims and distributing the proceeds of the Settlement that is being proposed by Plaintiffs and Co-Lead Counsel to the Court for approval. The Court may approve this Plan of Allocation or modify it without additional notice to the Settlement Class. Any order modifying the Plan of Allocation will be posted on the Settlement website at www.UnitiGroupSecuritiesLitigation.com.

61. As noted above, the Settlement Amount and the interest it earns is the "Settlement Fund." The Settlement Fund, after deduction of Court-approved attorneys' fees and litigation expenses, Notice and Administration Expenses, Taxes, and any other fees or expenses approved by the Court, is the "Net Settlement Fund." The Net Settlement Fund will be distributed on a *pro-rata* basis to members of the Settlement Class who timely submit valid Claim Forms that show a "Recognized Claim" according to the Court-approved Plan of Allocation. Settlement Class Members who do not timely submit valid Claim Forms will not share in the Net Settlement Fund, but will still be bound by the Settlement.

62. The objective of this Plan of Allocation is to distribute the Net Settlement Fund among those Settlement Class Members who allegedly suffered economic losses as a result of the alleged wrongdoing. To design this plan, Co-Lead Counsel conferred with Plaintiffs' damages expert. This plan is intended to be generally consistent with an assessment of, among other things, the damages that Plaintiffs and Co-Lead Counsel believe were recoverable in the Action. The Plan of Allocation, however, is not a formal damages analysis and the calculations made pursuant to the plan are not intended to be estimates of, nor indicative of, the amounts that Settlement Class Members might have been able to recover after a trial. The calculations pursuant to the Plan of Allocation are also not estimates of the amounts that will be paid to Authorized Claimants. An individual Settlement Class Member's recovery will depend on, for example: (i) the total number and value of claims submitted; (ii) which type of Uniti Securities<sup>4</sup> were purchased or acquired; (iii) when the claimant purchased Uniti Securities; and (iv) whether and when the claimant sold his, her, or its Uniti Securities. The computations under the Plan of Allocation are only a method to weigh the claims of Authorized Claimants against one another for the purposes of making *pro rata* allocations of the Net Settlement Fund. The Claims Administrator will determine each Authorized Claimant's *pro rata* share of the Net Settlement Fund based upon each Authorized Claimant's *more rata* allocations of the Net Settlement Fund based upon each Authorized Claimant's *more rata* and the Claimant's *more rata* allocations of the Net Settlement Fund based upon each Authorized Claimant's *more rata* and the claimant's *more rata* allocations of the Net Settlement Fund based upon each Authorized Claimant's *more rata* and the claiman

63. For losses to be compensable damages under the federal securities laws, the disclosure of the allegedly misrepresented information must be the cause of the decline in the price of the securities at issue. In this case, Plaintiffs allege that Defendants issued false statements and omitted material facts during the Class Period, which allegedly artificially inflated the prices of Uniti Securities. Defendants deny the allegations and the assertion that any damages were suffered by any members of the Settlement Class as a result of their conduct. In developing the Plan of Allocation, Plaintiffs' damages expert calculated the estimated amount of alleged artificial inflation (or deflation) in Uniti Securities that was allegedly proximately caused by Defendants' alleged materially false and misleading statements and omissions.

64. In this Action, Plaintiffs allege that corrective information released to the market on August 3, 2017 (prior to market open), September 25, 2017 (after market close), February 15, 2019 (after market close) and June 24, 2019 (after market close) impacted the market prices of Uniti Securities in a statistically significant manner and removed the alleged artificial inflation (or deflation) from the share prices on August 3, 2017, September 26-27, 2017, February 19, 2019, and June 25, 2019. Accordingly, in order to have a compensable loss in this Settlement, Uniti common stock, Notes, or call options must have been purchased or otherwise acquired during the Class Period and held through at least one of the alleged corrective disclosures listed above, or with respect to put options, those options must have been sold (written) during the Class Period and not closed through at least one of the alleged corrective disclosures.

#### CALCULATION OF RECOGNIZED LOSS AMOUNTS

65. For purposes of determining whether a claimant has a "Recognized Claim," if a claimant has more than one purchase/acquisition or sale of Uniti Securities during the Class Period, all purchases/acquisitions and sales of like securities will be matched on a per security and "First In First Out" (FIFO) basis. With respect to Uniti common stock, Notes, and call options, Class Period sales will be matched first against any holdings at the beginning of the Class Period and then against purchases/acquisitions in chronological order, beginning with the earliest purchase/acquisition made during the Class Period. For Uniti put options, Class Period purchases will be matched first to close-out positions open at the beginning of the Class Period, and then against put options sold (written) during the Class Period in chronological order.

66. Based on the formulas stated below, a "Recognized Loss Amount" will be calculated by the Claims Administrator for each purchase of Uniti common stock, Notes, and call options and each sale of Uniti put options during the Class Period that is listed in the Claim Form and for which adequate documentation is provided. If a Recognized Loss Amount calculates to a negative number or zero under the formulas below, that Recognized Loss Amount will be zero.

<sup>&</sup>lt;sup>4</sup> Uniti Securities are: Uniti common stock; Uniti call options; Uniti put options; and the following Uniti notes: (i) CUSIP 20341WAA3, Uniti 6% notes maturing April 15, 2023; (ii) CUSIP 20341WAD7, Uniti 8.25% notes maturing October 15, 2023; and (iii) CUSIP 20341WAE5, Uniti 7.125% notes maturing December 15, 2024. All Uniti Notes "per Note" prices in the Plan of Allocation are in terms of per \$1,000 par value.

67. The sum of a claimant's Recognized Loss Amounts will be the claimant's Recognized Claim. An Authorized Claimant's Recognized Claim shall be the amount used to calculate the Authorized Claimant's *pro rata* share of the Net Settlement Fund. The *pro rata* share shall be the Authorized Claimant's Recognized Claim divided by the total of the Recognized Claims of all Authorized Claimants, multiplied by the total amount in the Net Settlement Fund.

#### **COMMON STOCK CALCULATIONS**

68. For each share of Uniti common stock purchased or otherwise acquired during the Class Period and sold before the close of trading on September 20, 2019, an "Out of Pocket Loss" will be calculated. Out of Pocket Loss is defined as the purchase price (excluding all fees, taxes, and commissions) minus the sale price (excluding all fees, taxes, and commissions). To the extent that the calculation of the Out of Pocket Loss results in a negative number, that number shall be set to zero.

## 69. For each share of Uniti common stock purchased or acquired from April 24, 2015 through and including June 24, 2019 and:

- A. Sold prior to August 3, 2017, the Recognized Loss Amount for each such share shall be zero.
- B. Sold from August 3, 2017 through June 24, 2019, the Recognized Loss Amount for each such share shall be *the lesser of:* 
  - the dollar artificial inflation applicable to each such share on the date of purchase/acquisition as set forth in Table 1 below <u>minus</u> the dollar artificial inflation applicable to each such share on the date of sale as set forth in Table 1 below; or
  - 2. the Out of Pocket Loss.
- C. Sold from June 25, 2019 through September 20, 2019, the Recognized Loss Amount for each such share shall be *the least of:* 
  - 1. the dollar artificial inflation applicable to each such share on the date of purchase/acquisition as set forth in **Table 1** below; or
  - 2. the actual purchase/acquisition price of each such share <u>minus</u> the average closing price from June 24, 2019, up to the date of sale as set forth in **Table 2** below; or
  - 3. the Out of Pocket Loss.
- D. Held as of the close of trading on September 20, 2019, the Recognized Loss Amount for each such share shall be *the lesser of*:
  - 1. the dollar artificial inflation applicable to each such share on the date of purchase/acquisition as set forth in **Table 1** below; or
  - 2. the actual purchase/acquisition price of each such share minus \$8.43.5

#### **NOTES CALCULATIONS**

70. For each purchase or acquisition of Uniti Notes during the Class Period and sold before the close of trading on September 20, 2019, an "Out of Pocket Loss" will be calculated. Out of Pocket Loss is defined as the purchase price (excluding all fees, taxes, and commissions) minus the sale price (excluding all fees, taxes, and commissions). To the extent that the calculation of the Out of Pocket Loss results in a negative number, that number shall be set to zero.

## 71. For each Uniti Note purchased or acquired from April 24, 2015 through and including June 24, 2019 and:

- A. Sold prior to August 3, 2017, the Recognized Loss Amount for each such Note shall be zero.
- B. Sold from August 3, 2017 through June 24, 2019, the Recognized Loss Amount for each such Note shall be *the lesser of:* 
  - the dollar artificial inflation applicable to each such Note on the date of purchase/acquisition as set forth in Table 3 below <u>minus</u> the dollar artificial inflation applicable to each such Note on the date of sale as set forth in Table 3 below; or
  - 2. the Out of Pocket Loss.

<sup>&</sup>lt;sup>5</sup> Pursuant to Section 21D(e)(1) of the Exchange Act, "in any private action arising under this title in which the plaintiff seeks to establish damages by reference to the market price of a security, the award of damages to the plaintiff shall not exceed the difference between the purchase or sale price paid or received, as appropriate, by the plaintiff for the subject security and the mean trading price of that security during the 90-day period beginning on the date on which the information correcting the misstatement or omission that is the basis for the action is disseminated to the market." Consistent with the requirements of the Exchange Act, Recognized Loss Amounts are reduced to an appropriate extent by taking into account the closing prices of Uniti common stock during the "90-day lookback period," June 25, 2019 through September 20, 2019. The mean (average) closing price for Uniti common stock during this 90-day lookback period was \$8.43.

- C. Sold from June 25, 2019 through September 20, 2019, the Recognized Loss Amount for each such Note shall be *the least of:* 
  - 1. the dollar artificial inflation applicable to each such Note on the date of purchase/acquisition as set forth in **Table 3** below; or
  - 2. the actual purchase/acquisition price of each such Note <u>minus</u> the average closing price from June 24, 2019, up to the date of sale as set forth in **Table 4** below; or
  - 3. the Out of Pocket Loss.
- D. Held as of the close of trading on September 20, 2019, the Recognized Loss Amount for each such Note shall be *the lesser of:* 
  - 1. the dollar artificial inflation applicable to each such Note on the date of purchase/acquisition as set forth in **Table 3** below; or
  - the actual purchase/acquisition price of each such Note <u>minus</u>: (a) \$953.66 for the 6% Notes; (b) \$903.48 for the 8.25% Notes; and (c) \$866.81 for the 7.125% Notes.<sup>6</sup>

#### EXCHANGE-TRADED CALL AND PUT OPTIONS CALCULATIONS

72. Exchange-traded options are traded in units called "contracts," which entitle the holder to buy (in the case of a call option) or sell (in the case of a put option) 100 shares of the underlying security, which in this case is Uniti common stock. Throughout this Plan of Allocation, all price quotations of exchange-traded options are per share of the underlying security (*i.e.*, 1/100 of a contract).

73. Each option contract specifies a strike price and an expiration date. Contracts with the same strike price and expiration date are referred to as a "series." Under the Plan of Allocation, the dollar artificial inflation per share (*i.e.,* 1/100 of a contract) for each series of Uniti call options and the dollar artificial deflation per share (*i.e.,* 1/100 of a contract) for each series of Uniti call options and the dollar artificial deflation per share (*i.e.,* 1/100 of a contract) for each series of Uniti call options and the dollar artificial deflation per share (*i.e.,* 1/100 of a contract) for each series of Uniti call options and the dollar artificial deflation per share (*i.e.,* 1/100 of a contract) for each series of Uniti put options has been calculated by Plaintiffs' damages expert.

74. Table 5 sets forth the dollar artificial inflation per share in Uniti call options during the Class Period. Table 6 sets forth the dollar artificial deflation per share in Uniti put options during the Class Period. Tables 5 and 6 list only series of Uniti options that had open interest on one of the alleged corrective disclosure dates and which expired on or after August 3, 2017 – the date of the first alleged corrective disclosure – because any option closed or expiring prior to that date has a Recognized Loss of zero.

75. For each Uniti call option purchased or otherwise acquired during the Class Period and closed (through sale, exercise, or expiration) from August 3, 2017 through June 24, 2019, and for each Uniti put option sold (written) during the Class Period and closed (through purchase, exercise, or expiration) from August 3, 2017 through June 24, 2019, an "Out of Pocket Loss" will be calculated. For Uniti call options closed through sale, the Out of Pocket Loss is the purchase/acquisition price (excluding all fees, taxes, and commissions) minus the sale price (excluding all fees, taxes, and commissions). For Uniti call options closed through exercise or expiration, the Out of Pocket Loss is the purchase/acquisition price (excluding all fees, taxes, and commissions) minus the value per option on the date of exercise or expiration.<sup>7</sup> For Uniti put options closed through purchase, the Out of Pocket Loss is the purchase/acquisition price (excluding all fees, taxes, and commissions) minus the sale price (excluding all fees, taxes, and commissions) minus the value per option on the date of exercise or expiration.<sup>7</sup> For Uniti put options closed through purchase, the Out of Pocket Loss is the purchase/acquisition price (excluding all fees, taxes, and commissions) minus the sale price (excluding all fees, taxes, and commissions). For Uniti put options closed through purchase, the Out of Pocket Loss is the purchase/acquisition price (excluding all fees, taxes, and commissions). For Uniti put options closed through purchase, the Out of Pocket Loss is the value per option on the date of exercise or expiration<sup>8</sup> minus the sale price (excluding all fees, taxes, and commissions). To the extent that the calculation of the Out of Pocket Loss results in a negative number, that number shall be set to zero.

## 76. For each exchange-traded Uniti call option purchased or acquired from April 24, 2015 through and including June 24, 2019 and:

- A. Closed (through sale, exercise, or expiration) prior to August 3, 2017, the Recognized Loss Amount for each such share shall be zero.
- B. Closed (through sale, exercise, or expiration) from August 3, 2017 through June 24, 2019, the Recognized Loss Amount for each such share shall be *the lesser of*:
  - the dollar artificial inflation applicable to each such share on the date of purchase/acquisition as set forth in Table 5 below <u>minus</u> the dollar artificial inflation applicable to each such share on the date of close as set forth in Table 5 below; or

<sup>&</sup>lt;sup>6</sup> As explained in footnote 5 above, pursuant to the Exchange Act, Uniti Notes are reduced to an appropriate extent by taking into account the closing prices of Uniti Notes during the 90-day look-back period, from June 25, 2019 through September 20, 2019. The mean (average) closing price for Uniti 6% notes during this 90-day look-back period was \$953.66, the mean (average) closing price for Uniti 8.25% notes during this 90-day look-back period was \$903.48, and the mean (average) closing price for Uniti 7.125% notes during this 90-day look-back period was \$866.81.

<sup>&</sup>lt;sup>7</sup> The "value" of the call option on the date of exercise or expiration shall be the closing price of Uniti common stock on the date of exercise or expiration minus the strike price of the option. If this number is less than zero, the value of the call option is zero.

<sup>&</sup>lt;sup>8</sup> The "value" of the put option on the date of exercise or expiration shall be the strike price of the option minus the closing price of Uniti common stock on the date of exercise or expiration. If this number is less than zero, the value of the put option is zero.

- 2. the Out of Pocket Loss.
- C. Open as of the close of trading on June 24, 2019, the Recognized Loss Amount for each such share shall be *the lesser of:* 
  - 1. the dollar artificial inflation applicable to each such share on the date of purchase/acquisition as set forth in **Table 5** below; or
  - 2. the actual purchase/acquisition price of each such share <u>minus</u> the closing price on June 25, 2019 (*i.e.*, the "Holding Price") as set forth in **Table 5** below

77. For each exchange-traded Uniti put option sold (written) from April 24, 2015 through and including June 24, 2019 and:

- A. Closed (through purchase, exercise, or expiration) prior to August 3, 2017, the Recognized Loss Amount for each such share shall be zero.
- B. Closed (through purchase, exercise, or expiration) from August 3, 2017 through June 24, 2019, the Recognized Loss Amount for each such share shall be *the lesser of:* 
  - the dollar artificial deflation applicable to each such share on the date of sale (writing) as set forth in Table
     6 below <u>minus</u> the dollar artificial deflation applicable to each such share on the date of close as set forth in Table 6 below; or
  - 2. the Out of Pocket Loss.
- C. Open as of the close of trading on June 24, 2019, the Recognized Loss Amount for each such share shall be *the lesser of:* 
  - the dollar artificial deflation applicable to each such share on the date of sale (writing) as set forth in Table
     6 below; or
  - the closing price on June 25, 2019 (*i.e.*, the "Holding Price") as set forth in Table 6 below <u>minus</u> the sale (writing) price.

78. **Maximum Recovery for Options:** The Settlement proceeds available for Uniti call options purchased during the Class Period and Uniti put options sold (written) during the Class Period shall be limited to a total amount equal to 2% of the Net Settlement Fund.

#### ADDITIONAL PROVISIONS OF THE PLAN OF ALLOCATION

79. Uniti common stock, Uniti Notes, and exchange-traded Uniti call options and put options are the only securities eligible for recovery under the Plan of Allocation. With respect to Uniti common stock purchased or sold through the exercise of an option, the purchase/sale date of the Uniti common stock is the exercise date of the option and the purchase/sale price is the exercise price of the option.

80. Purchases and sales of Uniti Securities will be deemed to have occurred on the "contract" or "trade" date as opposed to the "settlement" or "payment" date. The receipt or grant of Uniti Securities by gift, inheritance, or operation of law during the Class Period will not be deemed an eligible purchase or sale of Uniti Securities for the calculation of a claimant's Recognized Claim, nor will the receipt or grant be deemed an assignment of any claim relating to the purchase of Uniti Securities unless: (i) the donor or decedent purchased the Uniti Securities during the Class Period; (ii) no Claim Form was submitted by or on behalf of the donor, on behalf of the decedent, or by anyone else with respect to those shares; and (iii) it is specifically so provided in the instrument of gift or assignment.

81. The Recognized Loss Amount on any portion of a purchase that matches against (or "covers") a "short sale" is zero. The Recognized Loss Amount on a "short sale" that is not covered by a purchase is also zero. In the event that a claimant has an opening short position in Uniti common stock at the start of the Class Period, the earliest Class Period purchases shall be matched against such opening short position in accordance with the FIFO matching described above and any portion of such purchases that covers such short sales will not be entitled to recovery. In the event that a claimant newly establishes a short position during the Class Period, the earliest subsequent Class Period purchase shall be matched against such short position on a FIFO basis and will not be entitled to a recovery.

82. If a claimant has "written" Uniti call options, thereby having a short position in the call options, the date of covering such a written position is deemed to be the date of purchase or acquisition of the call option. The date on which the call option was written is deemed to be the date of sale of the call option. In accordance with the Plan of Allocation, the earliest Class Period purchases or acquisitions shall be matched against such short positions in accordance with the FIFO matching described above and any portion of such purchases or acquisitions that cover such short positions will not be entitled to recovery.

83. If a claimant has purchased or acquired Uniti put options, thereby having a long position in the put options, the date of purchase/acquisition is deemed to be the date of purchase/acquisition of the put option. The date on which the put option was sold, exercised, or expired is deemed to be the date of sale of the put option. In accordance with the Plan of Allocation, the earliest sales or dispositions of like put options during the Class Period shall be matched against such long positions in accordance with the FIFO matching described above and any portion of the sales that cover such long positions shall not be entitled to a recovery.

84. The Net Settlement Fund will be distributed to Authorized Claimants on a *pro rata* basis based on the relative size of their Recognized Claims. Specifically, a "Distribution Amount" will be calculated for each Authorized Claimant, which will be the Authorized Claimant's Recognized Claim divided by the total Recognized Claims of all Authorized Claimants, multiplied by the total amount in the Net Settlement Fund. Given the costs of distribution, the Net Settlement Fund will be allocated among all Authorized Claimants whose Distribution Amount is \$10.00 or greater.

85. Distributions will be made to eligible Authorized Claimants after all claims have been processed and after the Court has finally approved the Settlement. If there is any balance remaining in the Net Settlement Fund (whether by reason of tax refunds, uncashed checks or otherwise) after a reasonable amount of time from the date of initial distribution of the Net Settlement Fund, and after payment of outstanding Notice and Administration Expenses, Taxes, attorneys' fees and expenses, and any awards to Plaintiffs, the Claims Administrator shall, if feasible, reallocate (which reallocation may occur on multiple occasions) such balance among Authorized Claimants who have cashed their checks in an equitable and economic fashion. Thereafter, any *de minimis* balance that still remains in the Net Settlement Fund after redistribution(s) and after payment of outstanding Notice and Administration Expenses, Taxes, and attorneys' fees and expenses and any awards to Plaintiffs, shall be donated to one or more non-profit and non-sectarian organizations unaffiliated with Defendants' Counsel, Plaintiffs' Counsel, or the Parties.

86. Payment pursuant to the Plan of Allocation or such other plan of allocation as may be approved by the Court will be conclusive against all claimants. No person will have any claim against Plaintiffs, Plaintiffs' Counsel, Plaintiffs' damages expert, the Claims Administrator, or other agent designated by Co-Lead Counsel, arising from determinations or distributions to claimants made substantially in accordance with the Stipulation, the Plan of Allocation approved by the Court, or further orders of the Court. Plaintiffs, Defendants, Defendants' Counsel, and all other Released Parties will have no responsibility for or liability whatsoever for the investment or distribution of the Settlement Fund, the Net Settlement Fund, the Plan of Allocation or the determination, administration, calculation, or payment of any Claim Form or non-performance of the Claims Administrator, the payment or withholding of Taxes owed by the Settlement Fund or any losses incurred in connection therewith.

87. Each claimant is deemed to have submitted to the jurisdiction of the United States District Court for the Eastern District of Arkansas with respect to his, her, or its claim.

#### SPECIAL NOTICE TO SECURITIES BROKERS AND NOMINEES

If you purchased or otherwise acquired Uniti Securities during the Class Period for the beneficial interest 88. of a person or entity other than yourself, the Court has directed that WITHIN TEN (10) CALENDAR DAYS OF YOUR RECEIPT OF THIS NOTICE, YOU MUST EITHER: (a) provide a list of the names and addresses of all such beneficial owners to the Claims Administrator and the Claims Administrator is ordered to send the Notice promptly to such identified beneficial owners; or (b) request additional copies of this Notice and the Claim Form from the Claims Administrator, which will be provided to you free of charge, and WITHIN TEN (10) CALENDAR DAYS of receipt, mail the Notice and Claim Form directly to all the beneficial owners of those shares. If you choose to follow procedure (b), the Court has also directed that, upon making that mailing, YOU MUST SEND A STATEMENT to the Claims Administrator confirming that the mailing was made as directed and keep a record of the names and mailing addresses used. Nominees shall also provide email addresses for all such beneficial owners to the Claims Administrator, to the extent they are available. You are entitled to reimbursement from the Settlement Fund of your reasonable expenses actually incurred in connection with the foregoing, including reimbursement of postage expense and the cost of ascertaining the names and addresses of beneficial owners. Those expenses will be paid upon request and submission of appropriate supporting documentation and timely compliance with the above directives. All communications concerning the foregoing should be addressed to the Claims Administrator:

> Uniti Group Inc. Securities Litigation c/o KCC LLC P.O. Box 6159 Novato, CA 94948-6159

Dated: August 3, 2022

BY ORDER OF THE UNITED STATES DISTRICT COURT EASTERN DISTRICT OF ARKANSAS

	TABLE 1			
	Uniti Common S	Stock		
Alleged Artificial Inflation for Purposes of Calculating Purchase and Sale Inflation				
	Transaction Date	Artificial Inflation Per Share		

Transaction Date	Artificial Inflation Per Share
April 24, 2015 - August 2, 2017	\$10.16
August 3, 2017 – August 8, 2017	\$9.96
August 9, 2017 - September 25, 2017	\$11.08
September 26, 2017	\$9.41
September 27, 2017 - February 18, 2019	\$8.66
February 19, 2019 - June 24, 2019	\$1.12

#### TABLE 2 Uniti Common Stock Closing Price and Average Closing Price June 25, 2019 - September 20, 2019

	<b>J</b>				, ,	
		Average Closing				Average Closing
		Price between				Price between
Data		June 25, 2019	Det	_		June 25, 2019
Date	Closing Price	and Date Shown	Date	-	Closing Price	and Date Shown
6/25/2019	\$9.38	\$9.38		/2019	\$8.52	\$8.83
6/26/2019	\$9.42	\$9.40		/2019	\$8.90	\$8.84
6/27/2019	\$9.69	\$9.50		/2019	\$8.86	\$8.84
6/28/2019	\$9.50	\$9.50		/2019	\$8.86	\$8.84
7/1/2019	\$9.51	\$9.50		/2019	\$8.42	\$8.83
7/2/2019	\$9.29	\$9.47	8/15/	/2019	\$8.29	\$8.81
7/3/2019	\$9.35	\$9.45	8/16	/2019	\$8.52	\$8.80
7/5/2019	\$9.24	\$9.42	8/19/	/2019	\$8.29	\$8.79
7/8/2019	\$8.99	\$9.37	8/20/	/2019	\$8.31	\$8.78
7/9/2019	\$9.10	\$9.35	8/21	/2019	\$8.27	\$8.77
7/10/2019	\$9.06	\$9.32	8/22	/2019	\$8.09	\$8.75
7/11/2019	\$9.26	\$9.32	8/23	/2019	\$7.75	\$8.73
7/12/2019	\$9.28	\$9.31	8/26	/2019	\$8.12	\$8.71
7/15/2019	\$8.86	\$9.28	8/27	/2019	\$7.78	\$8.69
7/16/2019	\$8.86	\$9.25	8/28	/2019	\$7.71	\$8.67
7/17/2019	\$8.59	\$9.21		/2019	\$7.61	\$8.65
7/18/2019	\$8.59	\$9.17		/2019	\$7.39	\$8.62
7/19/2019	\$8.37	\$9.13		/2019	\$7.22	\$8.59
7/22/2019	\$8.50	\$9.10		/2019	\$7.38	\$8.57
7/23/2019	\$8.39	\$9.06		/2019	\$7.50	\$8.55
7/24/2019	\$8.84	\$9.05		/2019	\$7.32	\$8.52
7/25/2019	\$8.60	\$9.03		/2019	\$7.53	\$8.51
7/26/2019	\$8.60	\$9.01		/2019	\$7.83	\$8.49
7/29/2019	\$8.56	\$8.99		/2019	\$8.01	\$8.48
7/30/2019	\$8.57	\$8.98		/2019	\$8.26	\$8.48
7/31/2019	\$8.42	\$8.95		/2019	\$8.03	\$8.47
8/1/2019	\$8.27	\$8.93		/2019	\$8.01	\$8.46
8/2/2019	\$8.25	\$8.91		/2019	\$8.04	\$8.46
8/5/2019	\$8.19	\$8.88		/2019	\$8.00	\$8.45
8/6/2019	\$8.43	\$8.87		/2019	\$7.91	\$8.44
						+ -
8/7/2019	\$8.22	\$8.84	9/20/	/2019	\$7.95	\$8.43

## TABLE 3 Uniti Notes Alleged Artificial Inflation For Purposes of Calculating Purchase and Sale Inflation

	Artificial Inflation Per Note				
Transaction Date	6% Notes	8.25% Notes	7.125% Notes		
April 24, 2015 - August 2, 2017	\$40.38	\$107.68	\$106.56		
August 3, 2017 – August 8, 2017	\$40.00	\$106.80	\$106.25		
August 9, 2017 - September 25, 2017	\$40.63	\$119.93	\$116.25		
September 26, 2017	\$40.63	\$117.33	\$112.50		
September 27, 2017 - February 18, 2019	\$38.13	\$114.83	\$110.00		
February 19, 2019 - June 24, 2019	\$0.63	\$13.13	\$10.00		

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**TABLE 4** 

#### Uniti Notes Average Closing Prices Between June 25, 2019 and September 20, 2019 Average Closing Price Between June 25, 2019 and Date Shown Date 8.25% Notes 6% Notes 7.125% Notes 6/25/2019 \$960.00 \$937.50 \$902.50 6/26/2019 \$958.75 \$937.50 \$901.25 6/27/2019 \$959.17 \$939.17 \$901.25 6/28/2019 \$957.36 \$938.33 \$900.00 \$956.39 \$936.66 7/1/2019 \$898.75 7/2/2019 \$954.82 \$933.94 \$898.75 \$896.00 7/3/2019 \$953.95 \$932.43 7/5/2019 \$953.95 \$930.44 \$896.00 7/8/2019 \$952.37 \$927.69 \$892.92 7/9/2019 \$951.27 \$926.17 \$891.25 7/10/2019 \$950.89 \$925.77 \$890.47 7/11/2019 \$950.81 \$926.36 \$890.14 7/12/2019 \$950.74 \$926.85 \$890.14 7/15/2019 \$951.07 \$926.64 \$889.88 7/16/2019 \$951.26 \$926.20 \$889.88 7/17/2019 \$951.51 \$925.50 \$888.52 7/18/2019 \$951.50 \$924.78 \$888.52 7/19/2019 \$951.50 \$924.24 \$887.60 7/22/2019 \$951.89 \$923.27 \$887.02 7/23/2019 \$951.89 \$922.73 \$887.02 7/24/2019 \$952.20 \$922.37 \$886.34 7/25/2019 \$952.35 \$922.04 \$886.34 7/26/2019 \$952.35 \$921.62 \$886.34 7/29/2019 \$952.42 \$920.94 \$886.34 7/30/2019 \$952.66 \$920.50 \$885.25 \$952.66 \$883.98 7/31/2019 \$920.12 8/1/2019 \$952.88 \$919.79 \$882.79 8/2/2019 \$952.86 \$919.26 \$881.53 \$952.86 \$918.44 \$881.53 8/5/2019 8/6/2019 \$952.85 \$917.83 \$880.66 8/7/2019 \$952.85 \$917.26 \$880.66 8/8/2019 \$952.88 \$916.84 \$879.75 8/9/2019 \$952.88 \$916.51 \$878.93 \$952.76 \$916.05 8/12/2019 \$878.07 8/13/2019 \$952.76 \$915.74 \$878.07 8/14/2019 \$952.70 \$915.23 \$876.93 \$952.70 8/15/2019 \$914.84 \$876.12 8/16/2019 \$952.70 \$914.64 \$875.58 8/19/2019 \$952.69 \$914.33 \$875.07 8/20/2019 \$952.86 \$913.98 \$875.07 8/21/2019 \$953.01 \$913.54 \$874.72 8/22/2019 \$874.02 \$953.08 \$913.20 8/23/2019 \$953.04 \$912.68 \$873.28 8/26/2019 \$953.02 \$872.42 \$912.16 8/27/2019 \$953.02 \$871.53 \$911.55 8/28/2019 \$953.08 \$911.06 \$871.53 8/29/2019 \$953.08 \$910.17 \$871.53 8/30/2019 \$953.08 \$909.72 \$871.53 9/3/2019 \$953.03 \$909.11 \$870.61 9/4/2019 \$953.03 \$908.47 \$870.61 9/5/2019 \$953.04 \$907.91 \$870.61 9/6/2019 \$953.15 \$907.42 \$869.89 9/9/2019 \$906.90 \$953.15 \$869.23 9/10/2019 \$953.20 \$906.41 \$868.69 9/11/2019 \$953.18 \$905.93 \$868.69 9/12/2019 \$953.29 \$905.51 \$868.10 9/13/2019 \$953.40 \$905.02 \$867.68 9/16/2019 \$953.40 \$904.66 \$867.21 9/17/2019 \$953.49 \$904.26 \$867.21 9/18/2019 \$953.53 \$903.94 \$867.21 9/19/2019 \$903.65 \$953.59 \$866.81

\$903.48

\$866.81

9/20/2019

\$953.66

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Expiration	Strike	Call Option Artificial Inflation per Share During Trading Periods							
Date	Price	4/24/2015 through 8/2/2017	8/3/2017 through 9/25/2017	9/26/2017	9/27/2017 through 2/18/2019	2/19/2019 through 6/24/2019	Holding Value		
8/18/2017	\$22.50	\$0.15	\$0.00	-	-	-	-		
8/18/2017	\$25.00	\$0.03	\$0.00	-	-	-	-		
9/15/2017	\$25.00	\$0.05	\$0.00	-	-	-	-		
10/20/2017	\$12.50	\$0.00	\$2.31	\$0.99	\$0.00	-	-		
10/20/2017	\$15.00	\$0.00	\$1.78	\$0.38	\$0.00	-	-		
10/20/2017	\$17.50	\$0.00	\$0.64	\$0.08	\$0.00	-	-		
10/20/2017	\$20.00	\$0.00	\$0.10	\$0.00	\$0.00	-	-		
10/20/2017	\$22.50	\$0.00	\$0.05	\$0.00	\$0.00	-	-		
10/20/2017	\$25.00	\$0.00	\$0.04	\$0.04	\$0.00	-	-		
11/17/2017	\$12.50	\$0.00	\$2.43	\$0.77	\$0.00	-	-		
11/17/2017	\$15.00	\$1.88	\$1.70	\$0.40	\$0.00	-	-		
11/17/2017	\$17.50	\$1.14 \$0.52	\$0.96	\$0.20	\$0.00	-	-		
11/17/2017	\$20.00	\$0.53 \$0.24	\$0.38 \$0.12	\$0.06	\$0.00	-	-		
11/17/2017 11/17/2017	\$22.50 \$25.00	\$0.24 \$0.14	\$0.12 \$0.07	\$0.02 \$0.02	\$0.00 \$0.00	-	-		
		\$0.14	\$0.07	\$0.02	\$0.00	-	-		
11/17/2017	\$30.00		\$0.02		-	-	-		
11/17/2017 2/16/2018	\$35.00 \$10.00	\$0.04 \$0.00	\$0.04	\$0.04 \$0.77	\$0.00 \$0.00				
2/16/2018	\$15.00	\$0.00	\$2.55	\$0.77	\$0.00	-	-		
2/16/2018	\$15.00	\$1.38	\$1.73	\$0.40	\$0.00	-	-		
2/16/2018	\$17.50	\$0.83	\$0.69	\$0.28	\$0.00	-	-		
2/16/2018	\$20.00	\$0.83	\$0.39	\$0.22	\$0.00	-	-		
2/16/2018	\$25.00	\$0.36	\$0.39	\$0.10	\$0.00				
2/16/2018	\$30.00	\$0.18	\$0.17	\$0.02	\$0.00	_	-		
2/16/2018	\$35.00	\$0.09	\$0.09	\$0.04	\$0.00	-	-		
5/18/2018	\$12.50	-	\$1.86	\$0.44	\$0.00	-	-		
5/18/2018	\$15.00	-	\$1.49	\$0.34	\$0.00	-	-		
5/18/2018	\$17.50	-	\$1.11	\$0.32	\$0.00	-	-		
5/18/2018	\$20.00	-	\$0.80	\$0.26	\$0.00	-	-		
5/18/2018	\$22.50	-	\$0.61	\$0.12	\$0.00	-	-		
5/18/2018	\$25.00	-	\$0.33	\$0.08	\$0.00	-	-		
5/18/2018	\$30.00	-	\$0.23	\$0.08	\$0.00	-	-		
3/15/2019	\$12.50	-	-	-	\$6.60	\$0.00	-		
3/15/2019	\$15.00	-	-	-	\$4.83	\$0.00	-		
3/15/2019	\$17.50	-	-	-	\$2.70	\$0.00	-		
3/15/2019	\$20.00	-	-	-	\$0.95	\$0.00	-		
3/15/2019	\$22.50	-	-	-	\$0.18	\$0.00	-		
3/15/2019	\$25.00	-	-	-	\$0.05	\$0.00	-		
5/17/2019	\$12.50	-	-	-	\$5.85	\$0.00	-		
5/17/2019	\$15.00	-	-	-	\$4.33	\$0.00	-		
5/17/2019	\$17.50	-	-	-	\$2.75	\$0.00	-		
5/17/2019	\$20.00	-	-	-	\$1.43	\$0.00	-		
5/17/2019	\$22.50	-	-	-	\$0.58	\$0.00	-		
5/17/2019	\$25.00	-	-	-	\$0.20	\$0.00	-		
7/19/2019	\$7.50	-	-	-	-	\$1.15	\$2.08		
7/19/2019	\$10.00	-	-	-	-	\$0.64	\$0.33		
7/19/2019	\$15.00	-	-	-	-	\$0.02	\$0.03		
8/16/2019	\$5.00	-	-	-	\$8.84	\$1.24	\$4.55		
8/16/2019	\$7.50	-	-	-	\$8.25	\$1.00	\$2.23		
8/16/2019	\$10.00	-	-	-	\$7.11	\$0.66	\$0.70		
8/16/2019	\$12.50	-	-	-	\$5.67	\$0.15	\$0.20		
8/16/2019	\$15.00	-	-	-	\$4.22	\$0.04	\$0.08		

<u>TABLE 5</u> Uniti Exchange-Traded Call Options Alleged Artificial Inflation per Share and Holding Values

Expiration	Strike	Call Option Artificial Inflation per Share During Trading Periods					Holding
Date	Price	4/24/2015 through 8/2/2017	8/3/2017 through 9/25/2017	9/26/2017	9/27/2017 through 2/18/2019	2/19/2019 through 6/24/2019	Value
8/16/2019	\$17.50	-	-	-	\$2.97	\$0.04	\$0.08
8/16/2019	\$20.00	-	-	-	\$1.60	\$0.00	\$0.08
8/16/2019	\$22.50	-	-	-	\$0.95	\$0.00	\$0.08
8/16/2019	\$25.00	-	-	-	\$0.50	\$0.00	\$0.08
10/18/2019	\$5.00	-	-	-	-	\$1.20	\$4.50
10/18/2019	\$7.50	-	-	-	-	\$0.94	\$2.55
10/18/2019	\$10.00	-	-	-	-	\$0.66	\$1.20
10/18/2019	\$12.50	-	-	-	-	\$0.28	\$0.55
10/18/2019	\$15.00	-	-	-	-	\$0.13	\$0.25
11/15/2019	\$5.00	-	-	-	-	\$1.15	\$4.50
11/15/2019	\$7.50	-	-	-	-	\$0.83	\$2.78
11/15/2019	\$10.00	-	-	-	-	\$0.68	\$1.38
11/15/2019	\$12.50	-	-	-	-	\$0.45	\$0.58
11/15/2019	\$15.00	-	-	-	-	\$0.21	\$0.28
11/15/2019	\$17.50	-	-	-	-	\$0.09	\$0.13
11/15/2019	\$20.00	-	-	-	-	\$0.02	\$0.10
1/17/2020	\$2.50	-	-	-	\$8.95	\$1.20	\$6.90
1/17/2020	\$5.00	-	-	-	\$8.58	\$0.98	\$4.75
1/17/2020	\$7.50	-	-	-	\$7.83	\$0.88	\$2.98
1/17/2020	\$10.00	-	-	-	\$6.25	\$0.70	\$1.68
1/17/2020	\$12.50	-	-	-	\$5.43	\$0.43	\$0.90
1/17/2020	\$15.00	-	-	-	\$3.90	\$0.28	\$0.45
1/17/2020	\$17.50	-	-	-	\$2.94	\$0.19	\$0.23
1/17/2020	\$20.00	-	-	-	\$1.71	\$0.06	\$0.15
1/17/2020	\$22.50	-	-	-	\$0.98	\$0.11	\$0.08
1/17/2020	\$25.00	-	-	-	\$0.52	\$0.04	\$0.13
1/17/2020	\$30.00	-	-	-	\$0.13	\$0.00	\$0.05
1/15/2021	\$2.50	-	-	-	\$8.53	\$0.98	\$7.30
1/15/2021	\$5.00	-	-	-	\$8.57	\$1.07	\$5.45
1/15/2021	\$7.50	-	-	-	\$8.07	\$1.07	\$3.95
1/15/2021	\$10.00	-	-	-	\$6.70	\$1.00	\$2.73
1/15/2021	\$12.50	-	-	-	\$4.89	\$0.79	\$1.98
1/15/2021	\$15.00	-	-	-	\$2.88	\$0.68	\$1.35
1/15/2021	\$17.50	-	-	-	\$2.52	\$0.45	\$1.00
1/15/2021	\$20.00	-	-	-	\$1.36	\$0.26	\$0.65
1/15/2021	\$22.50	-	-	-	\$0.94	\$0.36	\$0.50
1/15/2021	\$25.00	-	-	-	\$0.41	\$0.41	\$0.33
1/15/2021	\$30.00	-	-	-	\$0.40	\$0.13	\$0.18

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Expiration	Put Option Artificial Deflation per Share           Strike         During Trading Periods										
Date	Price	4/24/2015 through 8/2/2017	8/3/2017 through 9/25/2017	9/26/2017	9/27/2017 through 2/18/2019	2/19/2019 through 6/24/2019	Holding Value				
8/18/2017	\$20.00	\$0.01	\$0.00	-	-	-	-				
8/18/2017	\$22.50	\$0.05	\$0.00	-	-	-	-				
8/18/2017	\$25.00	\$0.15	\$0.00	-	-	-	-				
8/18/2017	\$27.50	\$0.16	\$0.00	-	-	-	-				
8/18/2017	\$30.00	\$0.18	\$0.00	-	-	-	-				
8/18/2017	\$35.00	\$0.16	\$0.00	-	-	-	-				
9/15/2017	\$22.50	\$0.05	\$0.00	-	-	-	-				
9/15/2017	\$25.00	\$0.14	\$0.00	-	-	-	-				
10/20/2017	\$7.50	-	\$0.02	\$0.00	\$0.00	-	-				
10/20/2017	\$10.00	-	\$0.02	\$0.02	\$0.00	-	-				
10/20/2017	\$12.50	-	\$0.09	\$0.02	\$0.00	-	-				
10/20/2017	\$15.00	-	\$0.71	\$0.32	\$0.00	-	-				
10/20/2017	\$17.50	-	\$1.84	\$0.67	\$0.00	-	-				
10/20/2017	\$20.00	-	\$2.32	\$0.85	\$0.00	-	-				
10/20/2017	\$22.50	-	\$2.51	\$0.85	\$0.00	-	-				
10/20/2017	\$25.00	-	\$2.40	\$0.69	\$0.00	-	-				
10/20/2017	\$30.00	-	\$2.44	\$0.73	\$0.00	-	-				
11/17/2017	\$7.50	-	\$0.02	\$0.00	\$0.00	-	-				
11/17/2017	\$10.00	-	\$0.15	\$0.00	\$0.00	-	-				
11/17/2017	\$12.50	-	\$0.26	\$0.06	\$0.00	-	-				
11/17/2017	\$15.00	\$0.81	\$0.80	\$0.36	\$0.00	-	-				
11/17/2017	\$17.50	\$1.51	\$1.50	\$0.55	\$0.00	-	-				
11/17/2017	\$20.00	\$2.04	\$2.01	\$0.69	\$0.00	-	-				
11/17/2017	\$22.50	\$2.26	\$2.19	\$0.77	\$0.00	-	-				
11/17/2017	\$25.00	\$2.38	\$2.24	\$0.73	\$0.00	-	-				
11/17/2017	\$30.00	\$2.43	\$2.24	\$0.73	\$0.00	-	-				
11/17/2017	\$35.00	\$2.69	\$2.50	\$0.69	\$0.00	-	-				
2/16/2018	\$7.50	-	\$0.09	\$0.02	\$0.00	-	-				
2/16/2018	\$10.00	-	\$0.15	\$0.08	\$0.00	-	-				
2/16/2018	\$12.50	-	\$0.46	\$0.26	\$0.00	-	-				
2/16/2018	\$15.00	\$0.87	\$0.86	\$0.32	\$0.00	-	-				
2/16/2018	\$17.50	\$1.34	\$1.32	\$0.49	\$0.00	-	-				
2/16/2018	\$20.00	\$1.66	\$1.60	\$0.53	\$0.00	-	-				
2/16/2018	\$22.50	\$1.95	\$1.88	\$0.61	\$0.00	-	-				
2/16/2018	\$25.00	\$2.27	\$2.15	\$0.73	\$0.00	-	-				
2/16/2018	\$30.00	\$2.29	\$2.11	\$0.65	\$0.00	-	-				
2/16/2018	\$35.00	\$2.45	\$2.28	\$0.81	\$0.00	-	-				
5/18/2018	\$5.00	-	\$0.02	\$0.02	\$0.00	-	-				
5/18/2018	\$7.50	-	\$0.15	\$0.10	\$0.00	-	-				
5/18/2018	\$10.00	-	\$0.31	\$0.06	\$0.00	-	-				
5/18/2018	\$12.50	-	\$0.51	\$0.24	\$0.00	-	-				
5/18/2018	\$15.00	-	\$0.91	\$0.32	\$0.00	-	-				
5/18/2018	\$17.50	-	\$1.28	\$0.44	\$0.00	-	-				
5/18/2018	\$20.00	-	\$1.56	\$0.49	\$0.00	-	-				
5/18/2018	\$25.00	-	\$1.84	\$0.57	\$0.00	-	-				
3/15/2019	\$7.50	-	-	-	\$0.13	\$0.00	-				
3/15/2019	\$12.50	-	-	-	\$1.13	\$0.00	-				
3/15/2019	\$15.00	-	-	-	\$2.88	\$0.00	-				
3/15/2019	\$17.50	-	-	-	\$4.85	\$0.00	-				
3/15/2019	\$20.00	-	-	-	\$6.40	\$0.00	-				
3/15/2019	\$22.50	-	-	-	\$7.28	\$0.00	-				
5/17/2019	\$7.50	-	-	-	\$0.20	\$0.00	-				
5/17/2019	\$10.00	-	-	-	\$0.68	\$0.00	-				
5/17/2019	\$12.50	-	-	-	\$1.58	\$0.00	-				
5/17/2019	\$15.00	-	-	-	\$3.08	\$0.00	-				
5/17/2019	\$17.50	-	-	-	\$4.50	\$0.00	-				

#### <u>TABLE 6</u> Uniti Exchange-Traded Put Options Alleged Artificial Deflation per Share and Holding Values

Expiration Date         Strike Price	Holding Value - - - \$0.13 \$1.00 \$3.13 \$5.60 \$0.13 \$0.33 \$1.43 \$3.23 \$5.75 \$8.20 \$10.70 \$13.25 \$15.75
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	- - \$0.13 \$1.00 \$3.13 \$5.60 \$0.13 \$0.33 \$1.43 \$3.23 \$5.75 \$8.20 \$10.70 \$13.25
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	\$0.13 \$1.00 \$3.13 \$5.60 \$0.13 \$0.33 \$1.43 \$3.23 \$5.75 \$8.20 \$10.70 \$13.25
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	\$0.13 \$1.00 \$3.13 \$5.60 \$0.13 \$0.33 \$1.43 \$3.23 \$5.75 \$8.20 \$10.70 \$13.25
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	\$0.13 \$1.00 \$3.13 \$5.60 \$0.13 \$0.33 \$1.43 \$3.23 \$5.75 \$8.20 \$10.70 \$13.25
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	\$1.00 \$3.13 \$5.60 \$0.13 \$0.33 \$1.43 \$3.23 \$5.75 \$8.20 \$10.70 \$13.25
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	\$3.13 \$5.60 \$0.13 \$0.33 \$1.43 \$3.23 \$5.75 \$8.20 \$10.70 \$13.25
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	\$5.60 \$0.13 \$0.33 \$1.43 \$3.23 \$5.75 \$8.20 \$10.70 \$13.25
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	\$0.13 \$0.33 \$1.43 \$3.23 \$5.75 \$8.20 \$10.70 \$13.25
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	\$0.33 \$1.43 \$3.23 \$5.75 \$8.20 \$10.70 \$13.25
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	\$1.43 \$3.23 \$5.75 \$8.20 \$10.70 \$13.25
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	\$1.43 \$3.23 \$5.75 \$8.20 \$10.70 \$13.25
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	\$3.23 \$5.75 \$8.20 \$10.70 \$13.25
8/16/2019       \$15.00       -       -       \$4.08       \$1.15         8/16/2019       \$17.50       -       -       \$5.15       \$1.15         8/16/2019       \$20.00       -       -       \$6.31       \$1.11         8/16/2019       \$22.50       -       -       \$6.31       \$1.11         8/16/2019       \$22.50       -       -       \$7.26       \$1.41         8/16/2019       \$25.00       -       -       -       \$7.65       \$1.20         10/18/2019       \$5.00       -       -       -       \$0.00       \$0.06         10/18/2019       \$7.50       -       -       -       \$0.00       \$0.26         10/18/2019       \$10.00       -       -       -       \$0.00       \$0.60         10/18/2019       \$12.50       -       -       -       \$0.00       \$0.75	\$5.75 \$8.20 \$10.70 \$13.25
8/16/2019       \$17.50       -       -       \$5.15       \$1.15         8/16/2019       \$20.00       -       -       \$6.31       \$1.11         8/16/2019       \$22.50       -       -       \$7.26       \$1.41         8/16/2019       \$25.00       -       -       \$7.65       \$1.20         10/18/2019       \$5.00       -       -       \$0.00       \$0.06         10/18/2019       \$7.50       -       -       \$0.00       \$0.26         10/18/2019       \$10.00       -       -       \$0.00       \$0.60         10/18/2019       \$12.50       -       -       \$0.00       \$0.75	\$8.20 \$10.70 \$13.25
8/16/2019       \$20.00       -       -       \$6.31       \$1.11         8/16/2019       \$22.50       -       -       \$7.26       \$1.41         8/16/2019       \$25.00       -       -       \$7.65       \$1.20         10/18/2019       \$5.00       -       -       -       \$0.00       \$0.06         10/18/2019       \$7.50       -       -       -       \$0.00       \$0.26         10/18/2019       \$10.00       -       -       -       \$0.00       \$0.60         10/18/2019       \$12.50       -       -       -       \$0.00       \$0.60	\$10.70 \$13.25
8/16/2019         \$22.50         -         -         \$7.26         \$1.41           8/16/2019         \$25.00         -         -         -         \$7.65         \$1.20           10/18/2019         \$5.00         -         -         -         \$0.00         \$0.06           10/18/2019         \$7.50         -         -         -         \$0.00         \$0.26           10/18/2019         \$10.00         -         -         -         \$0.00         \$0.60           10/18/2019         \$12.50         -         -         -         \$0.00         \$0.75	\$13.25
8/16/2019         \$25.00         -         -         \$7.65         \$1.20           10/18/2019         \$5.00         -         -         -         \$0.00         \$0.06           10/18/2019         \$7.50         -         -         -         \$0.00         \$0.26           10/18/2019         \$10.00         -         -         -         \$0.00         \$0.60           10/18/2019         \$12.50         -         -         -         \$0.00         \$0.75	
10/18/2019         \$5.00         -         -         \$0.00         \$0.06           10/18/2019         \$7.50         -         -         -         \$0.00         \$0.26           10/18/2019         \$10.00         -         -         -         \$0.00         \$0.26           10/18/2019         \$10.00         -         -         -         \$0.00         \$0.60           10/18/2019         \$12.50         -         -         -         \$0.00         \$0.75	1 15/5
10/18/2019         \$7.50         -         -         \$0.00         \$0.26           10/18/2019         \$10.00         -         -         -         \$0.00         \$0.60           10/18/2019         \$12.50         -         -         -         \$0.00         \$0.75	\$0.23
10/18/2019         \$10.00         -         -         \$0.00         \$0.60           10/18/2019         \$12.50         -         -         \$0.00         \$0.75	\$0.80
10/18/2019 \$12.50 \$0.00 \$0.75	\$0.80
	\$3.60
	\$0.35
11/15/2019 \$7.50 \$0.00 \$0.19	\$0.85
11/15/2019 \$10.00 \$0.00 \$0.47	\$2.03
11/15/2019 \$12.50 \$0.00 \$0.75	\$3.80
11/15/2019 \$15.00 \$0.00 \$0.90	\$5.85
11/15/2019 \$17.50 \$0.00 \$0.94	\$8.05
11/15/2019 \$20.00 \$0.00 \$1.20	\$10.75
1/17/2020 \$2.50 \$0.10 \$0.00	\$0.08
1/17/2020 \$5.00 \$0.24 \$0.04	\$0.38
1/17/2020 \$7.50 \$0.72 \$0.19	\$1.08
1/17/2020 \$10.00 \$1.40 \$0.43	\$2.33
1/17/2020 \$12.50 \$2.52 \$0.64	\$3.95
1/17/2020 \$15.00 \$3.94 \$0.81	\$6.05
1/17/2020 \$17.50 \$5.18 \$0.98	\$8.30
1/17/2020 \$20.00 \$6.21 \$1.11	\$10.70
1/17/2020 \$22.50 \$7.39 \$1.54	\$13.35
1/17/2020 \$25.00 \$6.95 \$1.15	\$15.70
1/17/2020 \$30.00 \$7.13 \$0.98	\$20.75
1/15/2021 \$2.50 \$0.17 \$0.02	\$0.28
1/15/2021 \$5.00 \$0.13 \$0.13	\$1.18
1/15/2021 \$7.50 \$1.13 \$0.11	\$2.10
1/15/2021 \$10.00 \$1.16 \$0.06	\$3.35
1/15/2021 \$12.50 \$2.23 \$0.26	\$5.05
1/15/2021 \$15.00 \$3.00 \$0.43	\$6.85
1/15/2021 \$17.50 \$3.68 \$0.38	\$8.95
1/15/2021 \$20.00 \$4.43 \$0.68	\$11.05
1/15/2021 \$22.50 \$4.99 \$0.64	\$13.30
1/15/2021 \$25.00 \$5.25 \$0.60	\$15.50
1/15/2021 \$30.00 \$5.78 \$0.98	\$20.65

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#### UNITED STATES DISTRICT COURT EASTERN DISTRICT OF ARKANSAS

In re UNITI GROUP INC. SECURITIES LITIGATION

Master File No. 4:19-cv-00756-BSM

**CLASS ACTION** 

This Document Relates To:

ALL ACTIONS.

#### PROOF OF CLAIM AND RELEASE FORM

#### I. GENERAL INSTRUCTIONS

1. To recover as a member of the Settlement Class based on your claims in the class action entitled *In re Uniti Group Inc. Securities Litigation*, Master File No. 4:19-cv-00756-BSM (E.D. Ark.) (the "Action"), you must complete and, on page 7 below, sign this Proof of Claim and Release form (the "Claim Form").<sup>1</sup> If you fail to submit a timely and properly addressed (as explained in paragraph 2 below) Claim Form, your claim may be rejected and you may not receive any recovery from the Net Settlement Fund created in connection with the proposed Settlement. Submission of this Claim Form, however, does not assure that you will share in the proceeds of the Settlement of the Action.

2. THIS CLAIM FORM, ACCOMPANIED BY COPIES OF THE DOCUMENTS REQUESTED HEREIN, MUST BE SUBMITTED ONLINE AT: WWW.UNITIGROUPSECURITIESLITIGATION.COM NO LATER THAN DECEMBER 1, 2022 OR, IF MAILED, BE POSTMARKED NO LATER THAN DECEMBER 1, 2022, ADDRESSED AS FOLLOWS:

> Uniti Group Inc. Securities Litigation c/o KCC LLC P.O. Box 6159 Novato, CA 94948-6159

3. If you are a member of the Settlement Class and you do not timely request exclusion in response to the Notice dated August 3, 2022, you are bound by and subject to the terms of any judgment entered in the Action, including the releases provided therein, WHETHER OR NOT YOU SUBMIT A CLAIM FORM OR RECEIVE A PAYMENT.

4. It is important that you read the Notice that accompanies this Claim Form, including the Plan of Allocation of the Net Settlement Fund set forth in the Notice. The Notice describes the proposed Settlement, and the manner in which the Net Settlement Fund will be distributed if the Settlement and Plan of Allocation are approved by the Court. By signing and submitting this Claim Form, you will be certifying that you have read the Notice, including the terms of the releases described in it and provided for by the Settlement.

#### II. CLAIMANT IDENTIFICATION

5. If during the period from April 24, 2015 to June 24, 2019, inclusive (the "Class Period") you: (1) purchased or otherwise acquired: (a) the common stock of Uniti Group Inc. f/k/a Communications Sales & Leasing, Inc. ("Uniti"); (b) call options of Uniti; or (c) the following bonds issued by Uniti and/or its subsidiaries: (i) 6.00% Senior Secured Notes due April 15, 2023 (CUSIP No. 20341WAA3); (ii) 8.25% Senior Notes due October 15, 2023 (CUSIP No. 20341WAD7); or (iii) 7.125% Senior Unsecured Notes due December 15, 2024 (CUSIP No. 20341WAE5); or (2) sold put options of Uniti (collectively, "Uniti Securities") and held the security in your name, you are the beneficial owner as well as the record owner. If, however, during the Class Period, you purchased or otherwise acquired Uniti Securities through a third party, such as a brokerage firm, you are the beneficial owner and the third party is the record owner.

6. Use Part I of this form entitled "Claimant Identification" to identify each beneficial owner of Uniti Securities that forms the basis of this claim, as well as the owner of record if different. THIS CLAIM MUST BE FILED BY THE ACTUAL BENEFICIAL OWNERS OR THE LEGAL REPRESENTATIVE OF SUCH OWNERS.

<sup>&</sup>lt;sup>1</sup> The terms of the Settlement are in the Stipulation and Agreement of Settlement, dated as of June 17, 2022 (the "Stipulation"), which can be viewed at www.UnitiGroupSecuritiesLitigation.com. All capitalized terms not defined in this Claim Form have the same meanings as in the Stipulation.

7. All joint owners must sign this claim. Executors, administrators, guardians, conservators, legal representatives, and trustees must complete and sign this claim on behalf of persons represented by them and their authority must accompany this claim and their titles or capacities must be stated. The Social Security (or taxpayer identification) number and telephone number of the beneficial owner may be used in verifying the claim. Failure to provide the foregoing information could delay verification of your claim or result in rejection of the claim.

8. A claim should be submitted for each separate legal entity (e.g., a Claim Form of joint owners should not include separate transactions of just one of the joint owners, and an individual should not combine his or her IRA transactions with transactions made solely in the individual's name). Conversely, a single Claim Form should be submitted on behalf of one legal entity including all transactions made by that entity on one Claim Form, no matter how many separate accounts that entity has (e.g., a corporation with multiple brokerage accounts should include all transactions made in all accounts on one Claim Form).

#### III. IDENTIFICATION OF TRANSACTIONS

9. Use Parts II, III and IV of this form entitled "Schedule of Transactions in Uniti Securities" to supply all required details of your transaction(s) in Uniti Securities. If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

10. On the schedules, provide all of the requested information with respect to your holdings, purchases, and sales of Uniti Securities, including whether the transactions resulted in a profit or a loss. Failure to report all such transactions may result in the rejection of your claim.

11. The date of covering a "short sale" is deemed to be the date of purchase of Uniti's publicly traded common stock. The date of a "short sale" is deemed to be the date of sale.

12. Copies of broker confirmations or other documentation of your transactions must be submitted with your claim. Failure to provide this documentation could delay verification of your claim or result in rejection of your claim. **THE PARTIES DO NOT HAVE INFORMATION ABOUT YOUR TRANSACTIONS IN UNITI SECURITIES.** 

13. NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. (This is different than the online claim portal on the Settlement website.) All such claimants MUST submit a manually signed paper Claim Form whether or not they also submit electronic copies. If you wish to submit your claim electronically, you must contact the Claims Administrator at (edata@kccllc.com) to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the claimant a written acknowledgment of receipt and acceptance of electronically submitted data.

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Official Office Use	UNITED STATES DISTRICT COURT	Must Be Postmarked (if Mailed) or Received (if Submitted Online) No Later Than December 1, 2022
Only	EASTERN DISTRICT OF ARKANSAS In re Uniti Group Inc. Securities Litigation	UII
	Master File No. 4:19-cv-00756-BSM PROOF OF CLAIM AND RELEASE	<u>Please Type or Print in the Boxes Below</u> Do <u>NOT</u> use Red Ink, Pencil, or Staples

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you MUST notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

PART I. CLAIMANT IDENTIFICATION			
Last Name	M.I.	First Name	
Last Name (Co-Beneficial Owner)	M.I.	First Name (Co-Beneficial Owner)	
IRA Joint Tenancy Employee	Individ	dual Other	
Company Name (Beneficial Owner - If Claimant is not an Individual) of	or Custo	dian Name if an IRA (spe	cify)
Trustee/Asset Manager/Nominee/Record Owner's Name (If Different	from Be	neficial Owner Listed Above)	
Account#/Fund# (Not Necessary for Individual Filers)			
	(* NI		
Last Four Digits of Social Security Number Taxpayer Identifica	ition Nui	mber	
or —			
Telephone Number (Primary Daytime)         Telephone Nun	nber (Alt	ternate)	
Email Address			
MAILING INFORMATION			
Address			
Address			
City	Sta	te ZIP Code	
Foreign Province Foreign Postal Coc	le	Foreign Country Name/A	Abbreviation
FOR CLAIMS BE FL	OP		FOD CLAIM
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1   1   1   1   1   1   1   1   1   1			

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#### PART II. TRANSACTIONS IN UNITI COMMON STOCK

1. **BEGINNING HOLDINGS** - State the total number of shares of Uniti common stock held at the close of trading on April 23, 2015. If none, write "0" or "Zero." (Must submit documentation.)

Proof Enc	losed?
Y	N

 PURCHASES DURING THE CLASS PERIOD – Separately list each and every purchase or acquisition of Uniti common stock from April 24, 2015 through and including June 24, 2019. (Must submit documentation.)

	— PURCH Da	ASES —	chase		Number o Shares Purchase		Purch: Price			urchase Price uding taxes,		oof of chase
	(List Chronologically)			or Acquire	ed	Shar	re	commiss	sions, and fees)	Encl	osed?	
1	M M E		ΥΥ	ΥΥ		¢		00	¢		00	ΟY
1.	/	/				\$		00	\$		00	ΟN
2.	/	/				\$		00	\$		00	O Y N
3.	/	/				\$		00	\$		00	O Y O N
4.	/	/				\$		00	\$		00	O Y N

#### 3. **PURCHASES DURING 90-DAY LOOKBACK PERIOD** – State the total number of shares of Uniti common stock purchased from June 25, 2019 through and including September 20, 2019.<sup>2</sup> (Must submit documentation.)

Proof Enclosed? Y N

 SALES DURING THE CLASS PERIOD AND DURING THE 90-DAY LOOKBACK PERIOD – Separately list each and every sale of Uniti common stock from April 24, 2015 through and including the close of trading on September 20, 2019, inclusive. (Must submit documentation.)

Date of Sale (List Chronologically)	Number of Shares Sold	Sale Price Per Share	Total Sale Price (excluding taxes, commissions and fees)	Proof of Purchase Enclosed?
M     D     D     Y     Y       1.     /     /     /     /		\$ 00	\$	00 Y N
2. / /		\$ 00	\$	00 Y N
3. / /		\$ 00	\$	00 Y N
4. / /		\$ 00	\$	00 Y N

5. **ENDING HOLDINGS** – State the total number of shares of Uniti common stock held as of the close of trading on September 20, 2019. If none, write "0" or "Zero." (Must submit documentation.)

Proof Enclosed?

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS YOU MUST PHOTOCOPY THIS PAGE, ADD THE TRANSACTIONS, AND FILL IN THIS CIRCLE:

#### YOU MUST READ AND SIGN THE RELEASE ON PAGE 7. FAILURE TO SIGN THE RELEASE MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.

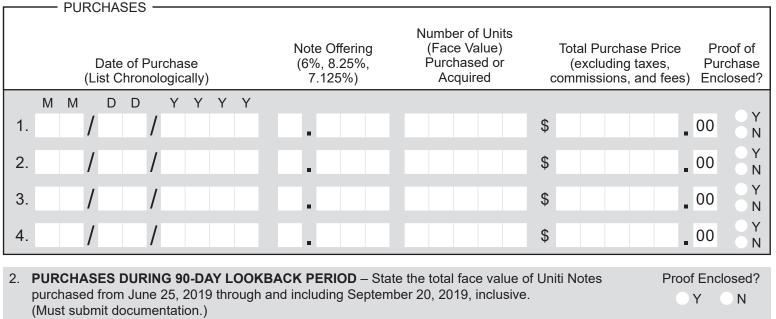
<sup>2</sup> Information requested about your purchases on June 25, 2019 through and including the close of trading on September 20, 2019 is needed only in order for the Claims Administrator to confirm that you have reported all relevant transactions. Purchases during this period are not eligible for a recovery because they are outside the Class Period.

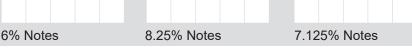


- SALES -

#### Case 4:19-CHARTERS SCHEDULECUP FRANSACTIONS IN ON PATE Bage 33 of 62

1. **PURCHASES DURING THE CLASS PERIOD** – Separately list each and every purchase or acquisition of Uniti Notes from April 24, 2015 through and including June 24, 2019, inclusive. (Must submit documentation.)





 SALES DURING THE CLASS PERIOD AND DURING THE 90-DAY LOOKBACK PERIOD – Separately list each and every sale of Uniti Notes from April 24, 2015 through and including the close of trading on September 20, 2019, inclusive. (Must submit documentation.)

Date of Sale (List Chronologically)	Note Offering (6%, 8.25%, 7.125%)	Number of Units (Face Value) Sold	Total Purchase Price (excluding taxes, commissions, and fees)	Proof of Purchase Enclosed?
M     D     D     Y     Y     Y       1.     /     /     /     /     /			\$	00 Y
2. / /			\$	00 Y N
3. / /			\$	00 Y N
4. / /			\$	00 Y N

4. ENDING HOLDINGS – State the face value of Uniti Notes held as of the close of trading on September 20, 2019. If none, write "0" or "Zero." (Must submit documentation.)
 6% Notes
 8.25% Notes
 7.125% Notes

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS YOU MUST PHOTOCOPY THIS PAGE, ADD THE TRANSACTIONS, AND FILL IN THIS CIRCLE:

YOU MUST READ AND SIGN THE RELEASE ON PAGE 7. FAILURE TO SIGN THE RELEASE MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.



#### CART4V.9SCHEDJEE-BETTRANSAUMON51R5EXCHARGEPFADEB URAGOPHIONS2

PURCHASES/REPURCHASES

A. I made the following purchases/repurchases of exchange-traded options on Uniti common stock during the period from April 24, 2015 through and including June 24, 2019, inclusive:

Date(s) of transaction (List Chronologically) (MM/DD/YY)	Number of option contracts acquired	Expiry Date (MM/YY)	Strike Price	Transaction price per optio contract		Assigned/E Date (MM/DE	Э	ed
Option Type: Put Cal	I			Pr	oof of transaction	Enclosed?	Y	N
Option Type: Put Cal				Pr	oof of transaction	Enclosed?	Y	N
Option Type: Put Cal				Pr	oof of transaction	Enclosed?	Y	O N
Option Type: Put Cal				Pr	oof of transaction	Enclosed?	Y	O N
Option Type: Put Cal				Pr	oof of transaction	Enclosed?	Y	O N
Option Type: Option Cal	I			Pr	oof of transaction	Enclosed?	Y	N

#### SALES/WRITTEN

B. I sold/wrote the following exchange-traded options on Uniti common stock during the period from April 24, 2015 through and including June 24, 2019, inclusive.

Date(s) of transaction (List Chronologically) (MM/DD/YY)	Number of option contracts acquired	Expiry Date (MM/YY)	Strike Price	Transaction price per optic contract	[X]expired on [A]ssigned [E]xercised	Assigned/E Dat (MM/DI	te	sed
Option Type: Put Ca	II			Pro	oof of transaction	Enclosed?	Υ	N
Option Type: Put Ca				Pr	oof of transactior	Enclosed?	Y	O N
Option Type: Option Ca				Pri	oof of transactior	Enclosed?	Y	
Option Type: Put Ca	II			Pr	oof of transactior	Enclosed?	Y	N
Option Type: Put Ca	I			Pr	oof of transactior	Enclosed?	Y	N
Option Type: Put Ca	II			Pro	oof of transaction	Enclosed?	ΟY	N

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS YOU MUST PHOTOCOPY THIS PAGE, ADD THE TRANSACTIONS, AND FILL IN THIS CIRCLE:

YOU MUST READ AND SIGN THE RELEASE ON PAGE 7. FAILURE TO SIGN THE RELEASE MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.





#### IV. SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENT

14. By signing and submitting this Claim Form, the Claimant(s) or the person(s) acting on behalf of the Claimant(s) certify(ies) that: I (We) submit this Claim Form under the terms of the Plan of Allocation described in the accompanying Notice. I (We) also submit to the jurisdiction of the United States District Court for the Eastern District of Arkansas (the "Court") with respect to my (our) claim as a Settlement Class Member(s) and for purposes of enforcing the releases set forth herein. I (We) further acknowledge that I (we) will be bound by and subject to the terms of any judgment entered in connection with the Settlement in the Action, including the releases set forth therein. I (We) agree to furnish additional information to the Claims Administrator to support this claim, such as additional documentation for transactions in Uniti Securities, if required to do so. I (We) have not submitted any other claim covering the same transactions in Uniti Securities during the Class Period and know of no other person having done so on my (our) behalf.

#### V. RELEASES, WARRANTIES, AND CERTIFICATION

By signing and submitting this Claim Form, the Claimant(s) or the person(s) acting on behalf of the Claimant(s) certify(ies) as follows:

15. I (We) hereby warrant and represent that I am (we are) a Settlement Class Member as defined in the Notice, that I am (we are) not excluded from the Settlement Class, that I am (we are) not one of the "Released Defendant Parties" as defined in the accompanying Notice.

16. As a Settlement Class Member, I (we) hereby acknowledge full and complete satisfaction of, and do hereby fully, finally, and forever compromise, settle, release, resolve, relinquish, waive, and discharge with prejudice the Released Claims as to each and all of the Released Defendant Parties (as these terms are defined in the accompanying Notice). This release shall be of no force or effect unless and until the Court approves the Settlement and it becomes effective on the Effective Date.

17. I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign or transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.

18. I (We) hereby warrant and represent that I (we) have included information about all of my (our) purchases and sales of Uniti Securities that occurred during the relevant periods and the number of Uniti Securities held by me (us), to the extent requested.

19. I (We) certify that I am (we are) NOT subject to backup tax withholding. (If you have been notified by the Internal Revenue Service that you are subject to backup withholding, please strike out the prior sentence.)

20. I (We) declare under penalty of perjury under the laws of the United States of America that all of the foregoing information supplied by the undersigned is true and correct.

Executed this	day of		in
		(Month/Year)	(City/State/Country)
Signature of Claimant			(Type or print name of Claimant)
Signature of Joint Claim	nant, if any		(Type or print name of Joint Claimant, if any)
Signature of person sig	ning on behalf of Clair	nant	(Type or print name of person signing on behalf of Claimant)
Capacity of person sign an individual (e.g., Adm	0		

Custodian, Power of Attorney, etc.)



#### ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME. THANK YOU FOR YOUR PATIENCE.

#### **Reminder Checklist:**

- 1. You must sign this Claim Form.
- 2. DO NOT HIGHLIGHT THE CLAIM FORM OR YOUR SUPPORTING DOCUMENTATION.
- 3. Attach only copies of supporting documentation as these documents will not be returned to you.
- 4. Keep a copy of your Claim Form for your records.
- 5. The Claims Administrator will acknowledge receipt of your Claim Form by mail, within 60 days. Your claim is not deemed submitted until you receive an acknowledgment postcard. If you do not receive an acknowledgment postcard within 60 days, please call the Claims Administrator toll-free at (844) 594-2510.
- 6. If you move after submitting this Claim Form please notify the Claims Administrator of the change in your address, otherwise you may not receive additional notices or payment.

#### THIS CLAIM FORM MUST BE SUBMITTED ONLINE AT WWW.UNITIGROUPSECURITIESLITIGATION.COM OR MAILED POSTMARKED NO LATER THAN DECEMBER 1, 2022, ADDRESSED AS FOLLOWS:

Uniti Group Inc. Securities Litigation c/o KCC LLC P.O. Box 6159 Novato, CA 94948-6159



# Exhibit B

THE WALL STREET JOURNAL.

## **BIGGEST 1,000 STOCKS**

Continued From Page B8 Net Stock S	Net Sym Close Chg St	Net Stock Sym Close Chg	Net Stock Sym Close Chg	Net Stock Sym Close Chg	Net Stock Sym Close Chg	Net
Stock Sym Close Cha	<u> </u>		GeneralMotors GM 38.99 -0.41	Incyte INCY 74.96 -0.32	LibertyGlobal A LBTYA 22.09 0.18	Mondelez MDL7 65 96 0.78
ContraisElBras EBD 0.40 -0.12	Equ	uinix <b>FOIX</b> 712.04 0.35	Genmab GMAB 36.44 -0.80	Informatica INFA 23.51 -0.21	LibertyGlobal C LBTYK 23.36 0.12	MongoDB MDB 374.42 -1.50 OPQ PerkinElmer PKI 156.30 -1.35
CeridianHCM CDAY 69.70 -0.45 D E	Equ	UITOr EQNK 57.27 0.14	Genpact <b>G</b> 48.54 0.22 GenuineParts <b>GPC</b> 159.15 0.67	Infosys <b>INFY</b> 20.15 0.04 IngersollRand <b>IR</b> 53.97 0.49	LibertyFormOne A FWONA 62.72 1.34 LibertyFormOne C FWONK 69.69 1.16	MonolithicPower         MPWR 531.41         -5.47         OGE         Cenergy         OGE         42.61         -0.05         PetroChina         PTR         41.92         -0.78           MonsterBev         MNST         92.28         0.49         ONEOV         OFF         63.30         0.19         PetroleoBrasil         PBR         13.73         0.05
ChangeHithcr CHNG 24.81 0.05 CharlesRiverLabs CRL 228.12 -3.77	CP 36.00 1.16 Fou	uityLife <b>ELS</b> 76.58 -0.32	Gerdau GGB 4.77 -0.19	Insulet <b>PODD</b> 268.61 -2.03	LibertyBraves A BATRA 28.53 0.09	Moody's MCO 322.19 -0.11 OREON ORE 65.50 -0.16 PetroleoBrasilA PBR.A 12.44 -0.03
ChartIndustries GTLS 208.14 3.14 DTE Energy	ISH 19.47 -0.75 Equ	uityResdntl EQR 80.17 0.19	Gettylmages GETY 31.78 -2.15 GileadSciences GILD 66.33 0.12	Intel INTC 36.19 -0.15 InteractiveBrkrs IBKR 65.81 0.28	LibertyBraves C BATRK 27.60 0.12 LibertySirius A LSXMA 44.85 -0.11	Morganstanley MS 91.82 -0.20 OccidentalPetrol OXY 63.51 -0.83 Prizer Prc 49.00 0.11
CharterComms CHTR 482.83 1.91 Danaher D	HR 300.48 -1.87		GitLab GTLB 67.59 -2.35	ICE ICE 111.06 1.46	LibertySirius C LSXMK 44.87 -0.12	Morningstar         MORN 257.68         -2.32         Okta         OKTA 106.02         -1.09         PhilipMorris         PM         101.72         0.72           Mosaic         MOS         54.39         1.23         Okta         OKTA 106.02         -1.09         PhilipMorris         PM         101.72         0.72           Mosaic         MOS         54.39         1.23         Okta         OLPX         15.61         0.05         PhilipMorris         PM         101.72         0.72
Chemed CHE 487.46 -5.17 Darden D DarlingIngred D	AD 76.49 0.01 Ess	sexProp ESS 298.47 1.48	GlobalPayments GPN 136.15 -0.08 GlobalFoundries GFS 63.96 0.73	InterContinentl IHG 63.05 0.72 IBM IBM 136.56 1.63	LifeStorage LSI 136.11 -0.65 EliLilly LLY 310.31 -3.63	MotorolaSol MSI 256.59 1.22 OldDomEreight ODEI 310.07 -2.22 PilgrimPride PPC 30.56 0.31
CheniereEnergy LNG 161.28 3.71 Datadog Di	DOG 113.54 -2.66 Ets	LeeLaudei <b>LL</b> 2/0.11 -0.20	GlobalFoundries <b>GFS</b> 63.96 0.73 Globant <b>GLOB</b> 234.48 -0.28	IntlFlavors IFF 124.55 -0.01	LincolnElectric LECO 147.99 -0.08	NICE 226.04 -6.02 OldRepublic ORI 23.70 0.04 Pinduoduo PDD 49.54 -1.79
CheniereEnerPtrs CQP 49.67 0.94 DaVita D ChesapeakeEner CHK 97.00 3.42 DeckersOutdoor D	VA 92.92 0.58	erestRe <b>RE</b> 277.30 2.16	GlobeLife <b>GL</b> 103.52 0.70	IntlPaper IP 44.98 0.49	LincolnNational LNC 51.13 0.73	NVR NVR 4445.00 37.80 OmegaHealthcare OHI 33 37 -0.17 Pinterest PINS 22.99 -0.39
Chevron CVX 156.41 -0.40 Deere D	E 260.08 2.02 Eve	ergy LVRG /1.21 -0.22	GoDaddy GDDY 83.38 1.36 GoldFields GFI 9.29 0.04	Interpublic IPG 30.70 0.16 Intuit INTU 488.18 -1.05	Linde LIN 312.29 0.08 LithiaMotors LAD 277.88 13.63	NXP Semi NXPI 185.85 -1.72 Omnicom OMC 73.68 1.43 Pioneerivatkscs PXD 229.12 -0.82
	ELL 48.59 0.29 Eva	actSciences EXAS 41.11 -3.13	GoldmanSachs GS 354.97 -0.88	IntuitiveSurgical ISRG 233.71 -4.55	LiveNationEnt LYV 99.04 0.53	Nasoaq NDAQ 191.59 -0.36 NationalGrid NGG 71.53 0.86 ON Semi ON 70.71 -0.53 PlansBuilding PAG 11.71 0.14 PlansGP PAG 11.83 0.14
ChinaLifeIns LFC 7.18 -0.07 DeltaAir D. ChinaLifeIns LFC 7.18 -0.07 DentsplySirona XI	AL 55.54 0.54	elon <b>EXC</b> 46.69 -0.01	Grab GRAB 3.66 -0.11 Graco GGG 71.10 0.73	InvitatHomes INVH 39.81 0.03 IronMountain IRM 54.14 -0.39	LloydsBanking LYG 2.21 -0.01 LockheedMartin LMT 440.16 2.15	NatlRetailProp NNN 47.74 -0.37 OpenText OTEX 40.21 0.41 PlugPower PLUG 29.19 -0.77
ChinaPetrol SNP 45.35 -0.09 DeutscheBank D	B 9.21 0.06 Exp		Grainger GWW 584.19 1.39	ItauUnibanco ITUB 5.25	LockheedMartin LMT 440.16 2.15 Loews L 58.80 0.38	NatiStorage         NSA         57.11         -0.44         Oracle         ORCL         79.52         0.41         Pool         POOL 392.67         3.83           NatWest         NWG         6.45         0.01         Oracle         ORCL         79.52         0.41         PrincipalFin         PFG         78.98         -0.15
Chinada Chinad	VN 03.58 0.01 Ext	traSpaceSt <b>EXR</b> 212.74 -1.05	Grifols <b>GRFS</b> 8.84 -0.08		LogitechIntl LOGI 58.17 -0.41	NetApp NTAP 74.77 0.14 Organon OGN 31.18 0.17 ProcoreTech PCOR 63.08 0.07
Chubb CB 199.05 2.34 Diageo D	EO 189.57 0.44 F5	XUIIVIUDII XUIVI 71.40 -0.00	GpoAeroportuar PAC 152.02 0.60 HCA Healthcare HCA 212.87 -3.83	JKL	Lowe's LOW 214.12 6.08 Lucid LCID 18.93 0.17	NetEase NTES 93.18 0.75 Orix IX 83.42 -0.74 Procter&Gamble PG 149.93 1.37
ChunghwaTel CHT 41.25 -0.16 DiamondbkEner F/ Church&Dwight CHD 88.36 0.64 DiamondbkEner F/	ANG 125.59 -2.34	AC FMC 113 76 1 77	HDFC Bank HDB 64.94 -0.82	JD.com JD 57.29 -0.69 JPMorganChase JPM 123.63 1.17	LufaxHolding LU 3.94 -0.08	Netflix         NFLX 245.69         -3.42         OtisWorldwide OTIS         81.32         -0.23         Progressive         PGR         122.49         0.48           Neurocrine         NBIX 106.11         -1.28         Ovintiv         OVV         48.31         -1.05         Prologis         PLD         137.11         -1.27
ChurchillDowns CHDN 218.09 1.17 DigitalRealty D		ctSet FDS 447.69 1.10	HF Sinclair <b>DINO</b> 50.75 -0.06 HP <b>HPQ</b> 34.50 0.10	Jabil JBL 62.89 0.70	lululemon LULU 331.70 1.36 LumenTech LUMN 11.26 0.19	NewFortressEner NFE 60.03 0.45 OwensCorning OC 91.80 1.45 PrudentialFin PRU 105.18 0.36
Ciena CIEN 55.63 0.46 DiscoverFinSvcs D	FS 110.47 1.64 Fair	irlsaac FICO 501.49 11.70 stepal FAST 56.05 -0.06	HSBC HSBC 33.40 0.25	JackHenry <b>JKHY</b> 210.84 0.37 JacobsEngg <b>J</b> 134.21 0.71	LyondellBasell LYB 91.40 0.86	Newendrands NWL 21.42 0.32 PG&E PCG 12.39 DublicSonicoEnt DEC 68.70 0.04
Disiley		deralRealty FRT 113.02 1.23	H World HTHT 39.99 -0.01 Haleon HLN 6.35	JacobsEngg J 134.21 0.71 JamesHardie JHX 25.85 -0.03	MN	NewsCorp B NWS 18.90 0.15 POSCO PKX 49.74 0.06 PublicStorage PSA 353.22 -2.12
Cintas CTAS 437.81 -1.22 DocuSign D	OCI 73 77 -2 08 Fed		Halliburton HAL 28.21 -0.26	JazzPharm JAZZ 158.72 -1.54		NewsCorp A NWSA 18.59 0.10 PPG Ind PPG 137.21 0.82 PulteGroup PHM 44.55 0.14
	LB /9.82 -0./1 Form	rrari RACE 212 16 -2 50	HartfordFinl HIG 69.69 0.81	JefferiesFin <b>JEF</b> 34.89 -0.12 J&J <b>JNJ</b> 167.57 1.48	M&T Bank MTB 191.41 1.16 MGM Resorts MGM 36.17 0.63	NexstarMedia NXST 202.16         2.90         I PPL         PPL         30.91         0.31         Purestorage         PSTG         30.25         -0.03           NextEraEnergy NEE         90.53         -0.47         PTC         123.94         -1.22         Qiagen         QGEN 48.78         -0.88
CitizensFin CFG 40.09 0.26 DollarTree D	ITD 168 22 2 20 FION	INATIFIN FNF 40.82 0.08	Hasbro HAS 82.42 1.80 HashiCorp HCP 40.89 -0.10	JohnsonControls JCI 59.00 0.21	MPLX MPLX 32.79 0.64	NielsenHoldings NLSN 27.58 0.02 Paccar PCAR 94.78 0.88 Qorvo QRVO 107.91 -1.01
CitrixSystems CTXS 103.22 0.02 DominionEner D	85.45 0.80 Fifth	The structure state stat	HealthcareRealty HR 26.58 -0.26	JonesLang JLL 186.48 -0.22	MSCI MSCI 503.62 -5.01	Nike NKE 118.06 1.74 PackagingCpAm PKG 145.76 2.44 Qualcomm QCOM 150.03 -2.10 PackagingCpAm PKG 145.76 2.44 QualtricsIntl XM 14.35 -0.05
	PZ 410.93 0.07 First	stCitizBcshA FCNCA 857.19 5.93	HealthpeakProp <b>PEAK</b> 28.25 0.03 Heico A <b>HELA</b> 134.62 2.70	JuniperNetworks JNPR 29.10 0.06 KB Financial KB 40.32 0.12	MagellanMid <b>MMP</b> 52.42 0.10 MagnaIntl <b>MGA</b> 64.74 0.28	Nokia NOK 5.17 0.06 PalaptirTech DITP 9.74 -0.17 QuantaServices PWR 142.43 -0.54
I ClearwayEnergyA CWEN.A 37.21 0.03 Dover D	AV 141 22 1 0F FILS		Heico HEI 165.56 2.17	KBR <b>KBR</b> 52.77 -0.28	ManhattanAssoc MANH 155.00 1.39	NomuraHoldings NMR 3.81 -0.02 PaloAltoNtwks PANW 524.55 -3.22 QuestDiag DGX 140.53 -0.57
	OW 56.11 0.77 First	stRepBank FRC 170.12 1.20	HenrySchein HSIC 76.45 -1.15	KE Holdings <b>BEKE</b> 15.93 -0.26 KKR <b>KKR</b> 57.20 -0.32	ManulifeFin <b>MFC</b> 19.04 0.14 MarathonOil <b>MRO</b> 23.05 -0.26	Nordson         NDSN 245.78         0.66         ParamountB         PARA         27.20         0.64         R         S           NorfolkSouthern         NSC         257.61         0.55         ParamountA         PARA         30.50         0.75         R         S
Clauddaus NET 33.00 0.00	KNC 20.66 .0.14	SLSUIdi FSLK 110.77 -1.25	Hershey         HSY         230.72         0.40           HertzGlobal         HTZ         21.74         0.06	KLA KLAC 380.73 -3.59	MarathonPetrol MPC 96.65 1.44	NorthernTrust NTRS 103.78 0.06 ParkerHannifin PH 306.11 -0.31 RBC Bearings ROLL 260.25 0.75
Coca-Cola KO 65.03 0.53 Dropbox D	BX 24.57 -0.21 Firs	serv <b>FISV</b> 110.72 0.56	Hess HES 110.88 -0.58	Kanzhun <b>BZ</b> 21.60 -0.57	Markel MKL 1263.62 33.15	NorthropGrum NOC 485.50 -1.37 Paychex PAYX 138.31 0.16 RELX RELX 28.95 -0.04
Coca-ColaEuro CCEP 55.28 0.37 DukeEnergy D Cognex CGNX 48.64 0.08 DukeRealty D	UK 112.11 1.13 DE 64.77 -0.58 Five	veBelow FIVE 147.38 9.27	HessMidstream HESM 30.16 0.17 HewlettPackard HPE 14.95 0.09	KarunaTherap <b>KRTX</b> 263.69 -10.02 Kellogg <b>K</b> 75.90 0.05	MarketAxess MKTX 277.52 0.94 Marriott MAR 166.24 3.06	NortonLifeLock NLOK         24.21         0.17         PaycomSoftware PAYC 396.99         -1.92         RH         RH         329.31         16.91           Novartis         NVS         85.82         0.44         Paylocity         PCTY 271.77         -0.47         R1 RCM         RCM         25.33         -0.85
CognizantTech CTSH 69.94 0.24 Dun&Bradstreet D	NB 16.46 -0.11		HighwoodsProp <b>HIW</b> 35.21 0.15	KeurigDrPepper KDP 40.08 0.13	Marsh&McLen MMC 172.93 -0.23	NovoNordisk NVO 105.32 -1.05 PayPal PYPL 102.08 0.57 RPM RPM 95.33 0.97
	D 02.08 -0.02 Flox	ex FLEX 18.76 -0.19	Hilton <b>HLT</b> 139.02 2.00	KeyCorp <b>KEY</b> 19.78 0.33 KeysightTechs <b>KEYS</b> 170.63 -0.50	MartinMarietta MLM 371.57 2.82 MarvellTech MRVL 54.35 -1.13	Novocure         NVCR         83.05         -1.27         Pearson         PSO         10.65         0.01         RangeResources         RCC         33.47         0.69           NuHoldings         NU         5.52         0.84         PembinaPipeline         PBA         37.32         0.20         Rawmond James         RJF         110.83         0.81
ColgatePalm CL 82.18 0.94 Comcast A CMCSA 40.36 -0.21 Dynatrace D		JOIQDECOI FIND 99.40 3.71	Hologic HOLX 72.85 -0.62 HomeDepot HD 327.38 12.77	KilroyRealty KRC 53.41 0.30	Masco MAS 56.04 0.60	NuHoldings         NU         5.52         0.84         PembinaPipeline PBA         37.32         0.20         Raymond James RJF         110.83         0.81           Nucor         NUE         142.65         0.78         I         PenskeAuto         PAG         124.16         6.60         RaytheonTech RTX         95.05         -1.08
Comerica CMA 86.51 0.88 ENI E	23.60 -0.14 Form	rdMotor <b>F</b> 16.43 0.11	HondaMotor HMC 26.95 -0.28	KimberlyClark KMB 137.39 0.94	Masimo MASI 169.38 9.61	Nutrien NTR 91.45 2.06 Pentair PNR 50.95 0.19 RealtyIncome O 73.70 -1.05
CommerceBcshrs CBSH 73.82 0.57 EOG Rscs EV ConagraBrands CAG 35.24 0.34 EPAM Systems EI	OG 112.41 0.13 Fort	rtinet FTNT 52.94 -1.14	Honeywell <b>HON</b> 203.67 1.42 HorizonTherap <b>HZNP</b> 64.08 -0.82	KimcoRealty KIM 23.73 0.12 KinderMorgan KMI 18.65 0.08	Mastercard <b>MA</b> 359.25 -0.70 MatchGroup <b>MTCH</b> 67.77 -1.60	NVIDIA         NVDA 188.79         -1.53         I PepsiCo         PEP         180.32         1.04         Continued on Page B10
Confluent CFLT 31.78 -1.26 EQT E	QT 47.19 1.94 Fort		HormelFoods HRL 50.64 0.22	Knight-Swift KNX 56.97 0.53	Mattel MAT 23.80 0.59	
ConocoPhillips COP 100.10 -0.67 EastWestBncp EV ConEd ED 100.02 0.52 EastGroup EV		tBrandsHome FBHS 70.05 1.05	DR Horton <b>DHI</b> 78.61 -0.21 HostHotels <b>HST</b> 19.52 0.33	KoninklijkePhil PHG 20.59 0.49 KoreaElcPwr KEP 8.39 -0.17	McCormickVtg MKC.V 92.71 0.90 McCormick MKC 92.47 0.62	Disidend Channes
ConstBrands A STZ 248.17 1.69 EastmanChem El		XA FOXA 36.50 0.12	HowmetAerospace HWM 38.73 0.01	KraftHeinz <b>KHC</b> 38.83 0.27	McDonalds MCD 266.29 0.85	Dividend Changes
	TN 153.10 0.68 Fran	anco-Nevada ENV 133.03 0.67	Hubbell HUBB 220.79 -0.13	Kroger KR 49.08 1.56 LKQ LKQ 56.31 0.03	McKesson MCK 373.84 2.39 MedicalProp MPW 16.62 -0.06	
cody E	<b>GI</b> 177.02 0.46 Fram	anklinRscs BEN 28.79 -0.06	HubSpot HUBS 379.16 -9.38 Humana HUM 495.22 -3.39	LKQ <b>LKQ</b> 56.31 0.03 LPL Financial <b>LPLA</b> 229.13 3.35	MedicalProp MPV 16.62 -0.06 Medtronic MDT 95.31 0.11	Amount Payable/ Company Symbol Yld% New/Old Frg Record
Copart CPRT 131.63 0.21 Ecopetrol E	C 10.61 -0.17 Free		JBHunt <b>JBHT</b> 194.65 0.52	L3HarrisTech LHX 239.33 -0.70	MercadoLibre MELI 1053.75 -28.91	
Corning GLW 37.38 0.20 EdisonInt El Corteva CTVA 62.10 0.49 EdwardsLife El	X /1.81 0.41	IITruck VMM 7.09 -0.29	HuntingtonBcshs <b>HBAN</b> 14.47 0.11 HuntingIngalls <b>HII</b> 233.54 0.98	LabCorp.ofAmerica LH 259.15 -1.00 LamResearch LRCX 510.36 -6.93	Merck <b>MRK</b> 90.59 -0.01 MetaPlatforms <b>META</b> 179.47 -1.42	Increased
Cosan CSAN 16.27 -0.22   ElancoAnimal El			HyattHotels H 95.13 2.40	LamarAdv LAMR 105.93 0.04	MetLife MET 67.66 0.32	Broadridge Finl Solutions BR 1.6 .725/.64 Q Oct05/Sep15
	STC 84.00 -0.94		ICICI Bank IBN 22.35 -0.15	LambWeston LW 82.65 -0.60	MettlerToledo MTD 1356.10 -10.29	Westlake WLK 1.3 .357/.2975 <b>Q</b> Sep06/Aug22
Costco COST 553.02 7.27 ElbitSystems E CoterraEnergy CTRA 29.18 0.83 ElectronicArts E			ICL Group ICL 10.13 0.06 IdexxLab IDXX 382.24 -8.61	LasVegasSands LVS 38.28 -0.41 LatticeSemi LSCC 62.99 -1.04	MicrochipTech <b>MCHP</b> 74.09 -0.19 MicronTech <b>MU</b> 63.86 -0.84	Reduced
Coupang CPNG 18.28 0.18 ElevanceHealth E	LV 492.28 -0.94 Gall	allagher AJG 190.66 0.51	ING Groep ING 9.74 0.04	Lear LEA 151.10 -0.08	Microsoft MSFT 292.71 -0.76	Atlanticus 7.625% Pfd. B ATLCP 8.1 .4767/.48309 <b>Q</b> Sep15/Sep01
Credicorp BAP 138.29 1.99 EmersonElec El CreditAcceptance CACC 605.38 31.03 Enbridge El			Invesco IVZ 18.94 IQVIA IQV 244.71 -3.55	Leidos LDOS 101.22 0.25 Lennar A LEN 88.07 -0.01	MidAmApt MAA 188.56 -0.10 Middleby MIDD 160.30 3.36	Special
CreditSuisse CS 5.77 Endeavor E	DR 24.31 0.25 Gar	armin <b>GRMN</b> 99.59 0.06 I	IcahnEnterprises IEP 54.87 0.08	Lennar B LEN.B 70.07 0.21	MitsubishiUFJ MUFG 5.40 -0.02	Rubicon Technology RBCN 11.00 Aug29/Aug23
CrowdStrike CRWD 200.41 -1.41 EnergyTransfer E CrownCastle CCI 182.31 -1.83 EnphaseEnergy EI	T 11.80 0.22 Gar		Icon ICLR 246.30 -1.20	LennoxIntl LII 266.96 -0.36 LeviStrauss LEVI 20.23 0.70	MizuhoFin MFG 2.38 -0.04 Moderna MRNA 167.96 -8.82	Sources: FactSet; Dow Jones Market Data
			IDEX IEX 217.41 1.29 IllinoisToolWks ITW 217.06 3.07	LeviStrauss LEVI 20.23 0.70 LiAuto LI 31.09 -1.47	Mohawkinds <b>MHK</b> 126.56 1.08	
CubeSmart CUBE 50.49 -0.10 Entergy E	TR 122.22 0.41 Gen	eneralElec GE 81.07 1.26 I	Illumina ILMN 219.83 -6.76	LibertyBroadbandA LBRDA 120.18 0.03	MolinaHealthcare MOH 334.43 1.04	KEY: A: annual; M: monthly; Q: quarterly; r: revised; SA: semiannual; S2:1: stock split and ratio; SO:
Cullen/Frost CFR 139.98 1.89 EnterpriseProd E	PD 27.30 0.17 Gen	eneralMills <b>GIS</b> 77.44 0.80 I	ImperialOil IMO 45.10 0.05	LibertyBroadbandC LBRDK 120.32 0.16	MolsonCoorsB TAP 56.48 0.02	spin-off.

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FOR ACCREDITED INVESTORS	PROOFS OF CLAIN TO ALL PERSONS AND ENTITIES WITH THE ROMAN CATHOLIC DIOCESE OF R Sexual Abuse Claims Revived by Adu have been revived by the Adult Survivo
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In re: THE ROMAN CATHOLIC DIOCESE OF ROCKVILLE CENTRE, NEW YORK,<sup>1</sup> Case No. 20-12345 (MG) Debtor

BANKRUPTCIES

NOTICE OF DEADLINES REQUIRING FILING OF ADULT SURVIVORS ACT SEXUAL ABUSE PROOFS OF CLAIM ON OR BEFORE OCTOBER 10, 2022

TO ALL PERSONS AND ENTITIES WITH ADULT SURVIVORS ACT SEXUAL ABUSE CLAIMS AGAINST THE ROMAN CATHOLIC DIOCESE OF ROCKVILLE CENTRE, NEW YORK (THE "DEBTOR"): Sexual Abuse Claims Revived by Adult Survivors Act, Survivors of sexual abuse with claims that have been revived by the Adult Survivors Act must file an ASA Sexual Abuse Proof of Claim Form by the Supplemental Bar Date, a copy of which is enclosed herein and which also may be found at https://dm.epid1l.com/drvc. See Section 3 below for more information.<sup>2</sup> TO RECOVER FROM THE DEBTOR FOR A CLAIM REVIVED UNDER THE ADULT SURVIVORS ACT, DUI MUSC FLIP SUPPLY AND ADULT SURVIVORS ACT, DUI MUSC FLIP SUPPLY ADULT SURVIVORS ACT, DUI THE ADULT SURVIVORS ACT, DUI MUSC FLIP SUPPLY ADULT SURVIVORS ACT, DUI THE ADULT SURVIVORS ACT, DUI THE FLIP SUPPLY ADULT SURVIVORS ACT, DUI THE FLIP SUPPLY ADULT SURVIVORS ACT, DUI THE FLIP SUPPLY ADULT SURVIVORS ACT, DUI THE ADULT SURVIVORS ACT, DUI THE FLIP SUPPLY ADULT SUPPLY ADULT SUPPLY ADU

YOU MUST FILE SUCH CLAIM BY OCTOBER 10, 2022. THIS DEADLINE APPLIES TO ADULT SURVIVORS ACT CLAIMS AGAINST THE DEBTOR, EVEN IF THE ADULT SURVIVORS ACT ALLOWS MORE TIME UNDER STATE LAW.

MORE TIME UNDER STATE LAW.
 <u>FILING CLAIMS</u>
 WHO MUST FILE A PROOF OF CLAIM
 You MUST file an Adult Survivors Act. Sexual Abuse proof of claim to vote on a chapter 11 plan
 filed by the Debtor or to share in distributions from the Debtor's bankruptcy estate if you have a claim
 that arcse before the Petition Date, it has been revived by the Adult Survivors Act, and it is not one
 of the types of claims described in Section 5 below. Claims meeting these criteria that are based on
 acts or omissions of the Debtor that occurred before the Petition Date must be filed on or before the
 Supplemental Bar Date, even if such claims are not now fixed, liquidated or certain or did not mature
 or become fixed, liquidated or certain before the Petition Date.
 Inder section 101(5) of the Bankruptcy Code and as used in this potice, the word "claim" means

or become fixed, liquidated or certain before the Petition Date. Under section 101(5) of the Bankruptcy Code and as used in this notice, the word "claim" means a right to (a) payment, whether or not such right is reduced to judgment, liquidated, unilquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal, equitable, secured, or unsecured; or (b) a right to an equitable remedy for breach of performance if such breach gives rise to a right to payment, whether or not such right to an equitable remedy is reduced to judgment, fixed, contingent, matured, unmatured, disputed, undisputed, secured, or unsecured. 2 What TO FILE EAP SEVILAL ABILEC (LAIMS) WHAT TO FILE FOR SEXUAL ABUSE CLAIMS
 WHAT TO FILE FOR SEXUAL ABUSE CLAIMS

EASTERN DIST	S DISTRICT COURT RICT OF ARKANSAS
In re UNITI GROUP INC. SECURITIES LITIGATION )	Master File No. 4:19-cv-00756-BSM
This Document Relates To:	<u>CLASS ACTION</u> SUMMARY NOTICE OF PENDENCY AND PROPOSED SETTLEMENT OF CLASS ACTION AND MOTION FOR ATTORNEYS' FEES AND EXPENSES
	quired Uniti Group Inc. (f/k/a Communications Sales & Leasing 115 through June 24, 2019, inclusive, and were allegedly damage
YOU ARE HEREBY NOTIFIED, pursuant to Rule 23 of the	e Federal Rules of Civil Procedure and an Order of the United State

Total Are HEREBY NOTIFIED, pursuant to Kule 25 of the Federal Rules of CIVII Procedure and an Order of the United States District Court for the Eastern District of Arkansas, that Lead Plaintifts Steamfitters Local 449 Pension Plan, Wayne County Employees' Retirement System, and David McMurray, on behalf of himself and as sole beneficiary of the David McMurray R/O IRA (together, "Plaintiffs"), and Zhengxu He, Trustee for the He & Fang 2005 Revocable Living Trust, on behalf of themselves and all members of the Settlement Class, and Defendants Uniti, Kenneth A. Gunderman and Mark A. Wallace (collectively with Uniti, the "Defendants" and, together with Plaintiffs, the "Parties"), have reached a proposed settlement of the claims in the above-captioned class action (the "Action") and related claims in the amount of \$38,875,000 (the "Settlement").

A hearing will be held before the Honorable Brian S. Miller on November 4, 2022 at 11:00 a.m. CDT, remotely, via videoconference the "Settlement Hearing") to determine whether the Court should: (i) approve the proposed Settlement as fair, reasonable, and adequate ii) dismiss the Action with prejudice as provided in the Stipulation and Agreement of Settlement, dated June 17, 2022; (iii) approve the proposed Plan of Allocation for distribution of the proceeds of the Settlement (the "Net Settlement Fund") to Settlement Class Members and (iv) approve Co-Lead Counsel's Fee and Expense Application. To join on your computer or mobile app, please download Microsoft Teams and enter 265 481 733 390 for the meeting ID and RCEzJP for the passcode. To join with a videoconferencing device, go to teams@meet.vc.uscourts.gov and enter 118 555 112 9 for the meeting ID. The Court may change the date and time of the Settlement Hearing without providing another notice. You do NOT need to participate in the Settlement Hearing to receive a distribution from the Net Settlement Fund.

IF YOU ARE A MEMBER OF THE SETTLEMENT CLASS, YOUR RIGHTS WILL BE AFFECTED BY THE PROPOSED SETTLEMENT AND YOU MAY BE ENTITLED TO A MONETARY PAYMENT. If you have not yet received a full Notice and Claim Form, you may obtain copies of these documents by visiting the website for the Settlement, www.UnitiGroupSecuritiesLitigation.com, or e Claim

#### CLASS ACTION



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an ASA Sexual Abuse Proof of Claim, a copy of which is enclosed and which also may be found

nie an ASA Sexual Aouse Proor or Claim, a copy or which is enclosed and which also may be found at <u>https://dx.epi011.com/dwc</u>. You may have an ASA Sexual Abuse Claim if you experienced sexual abuse as a result of activities related to the Roman Catholic Diocese of Rockville Centre on or before October 1, 2020. Sexual abuse means:

- means: Sexual conduct or misconduct, sexual abuse or molestation, sexual exploitation, sexual touching, sexualized interaction, sexual comments about a person's body, or other verbal or non-verbal behaviors that facilitated, contributed to, or led up to abuse, regardless of whether or not such behavior was steel' sexual or against the law, and regardless of whether the child thought the behavior was sexual abuse at the time. Sexual abuse includes behavior between an adult and an adult without regard to whether such activity involved explicit force, whether such activity involved genital or other physical contact, and whether the individual associated the abuse with any physical, psychological, or emotional harm.
- or emotional harm. Sexual abuse involves behaviors including penetration or fondling of the individual's body.
- other body-on-body contact, or non-contact, behaviors such as observing or making images of an individual's naked body, showing or making pornography, or having an individual behave

b) an individual shared body, showing of making puriography, of naving an individual behave in sexual behavior as a group.
3. CONFIDENTIALITY PROTOCOL GOVERNING SUBMISSION OF SEXUAL ABUSE PROOPS <u>OF CLAIM</u> The Bar Date Order and the Supplemental Bar Date Order provide that a Confidentiality Protocol shall govern the submission of certain proofs of claim. Individuals filling ASA Sexual Abuse Proofs of Claim are directed not to file their Proof of Claim Forms with the Court. Instead and as described above, such ASA Proof of Claim Forms must be (a) mailed or delivered to the Claims Agent at the following address: The Roman Catholic Diocese of Rockville Centre, New York Claims Processing Center, c/o Epiq Corporate Restructuring, LLC, P.O. Box 4421, Beaverton, OR 97076-4421, or (b) filed through the Electronic Filling System via the website located at https://dm.edi11.com/droc

Hard L, beard and L, or of of or a structure and an origin the electronic hing of stein with a structure website located at https://dm.epiq11.com/dvs. ASA Sexual Abuse Proofs of Claim submitted by individuals with claims arising from sexual abuse will not be available to the general public unless such claimant requests, solely in his or her discretion, for such proof of claim to be made public by so indicating in his or her ASA Sexual Abuse

#### 4. WHEN AND WHERE TO FILE All ASA Sexual Abuse Droof

4. WHEN AND WHERE TO FILE All ASA Sexual Abuse Proofs of Claim must be filed so as to be received on or before October 10, 2022 at 5:00 p.m. (prevailing Eastern Time) as follows: IF BY U.S. POSTAL SERVICE MAIL OR OVERNIGHT DELIVERY: The Roman Catholic Diocese of Rockville Centre, New York, Claims Processing Center, c/o Epiq Corporate Restructuring, LLC, P.O. Box 4421, Beaverton, OR 97076-4421; IF DELIVERED BY HAND: The Roman Catholic Diocese of Rockville Centre, New York, Claims Processing Center, c/o Epiq Corporate Restructuring, LLC, 10300 SW Allen Blvd., Beaverton, OR 97005; IF ELECTRONICALLY: The website established by the Claims Agent, using the interface available on such website located at https://dm.epiq11.com/drvc (the "Electronic Filing System") and following the instructions provided. ASA Sexual Abuse Proofs of Claim will be deemed filed only when actually received at the addresses listed above or via the Electronic Filing System on or before the Supplemental Bar Date. ASA Sexual Abuse Proofs of Claim may not be delivered by facsimile, telecopy, or electronic mail transmission.

#### 5. CLAIMS FOR WHICH ASA SEXUAL ABUSE PROOFS OF CLAIM NEED NOT BE FILED

• <u>Advance For Wither as A SEXUAL ABUSE PROOFS OF CLAIM NEED NOT BE FILED</u> A person need not file an ASA Sexual Abuse Proof of Claim Form if you have previously submitted such claim in this chapter 11 case in accordance with the Bar Date Order. ASA Sexual Abuse Proof of Claim Forms are for individuals that may have claims against the Debtor that have been revived pursuant to the Adult Survivors Act. ASA Sexual Abuse Proof of Claim Forms are specifically for individuals that have claims as a result of conduct that occurred when they were 18 years of age or older.

were 18 years of age or older. 6. <u>CONSEQUENCES OF FAILURE TO FILE A CLAIM</u> ANY HOLDER OF AN ASA SEXUAL ABUSE CLAIM THAT FAILS TO TIMELY FILE A PROOF OF CLAIM IN THE APPROPRIATE FORM SHALL NOT BE TREATED AS A CREDITOR WITH RESPECT TO SUCH CLAIM FOR THE PURPOSES OF VOTING ON ANY PLAN OF REORGANIZATION FILED IN THIS CASE AND PARTICIPATING IN ANY DISTRIBUTION IN THE DEBTOR'S CASES ON ACCOUNT OF SUCH CLAIM. 7. ADDITIONAL INFORMATION

7. ADDITIONAL INFORMATION If you have any questions regarding the claims process and/or you wish to obtain a copy of the Supplemental Bar Date Notice, a proof of claim form, or related documents you may do so by: (i) calling the Debtor's restructuring hotline at (888) 490-0633 (US toll-free) or (503) 520-4459; (ii) visiting the Debtor's restructuring website at: https://dm.epial1.com/drx: and/or (iii) writing to The Roman Catholic Diocese of Rockville Centre Claims Processing Center, c/o Epig Corporate Restructuring, LLC, P.O. Box 4421, Beaverton, OR 97076-4421. Please note that the Claims Agent and of fire legal advice or advise whether you should file a proof of claim. Dated: August 10, 2022, New York, New York, Corinne Ball, Todd Geremia, Benjamin Rosenblum, Andrew Butler, JONES DAY, 250 Vesey Street, New York, NY 10281.1047, Telephone: (212) 326-3939, Facsimile: (212) 755-7306, Email: cball@jonesday.com, trgeremia@jonesday.com, borsenblum@ jonesday.com, abutler@jonesday.com. Coursel for the Debtor and Debtor in Possession

jonesday.com, abutler@jonesday.com, Counsel for the Debtor and Debtor in Possession

<sup>1</sup>The Debtor in this chapter 11 case is The Roman Catholic Diocese of Rockville Centre, New York, the last four digits of its federal tax identification number are 7437, and its mailing address is P.O. Box 9023, Rockville Centre, NY 11571-19023. <sup>2</sup> Capitalized terms not otherwise defined herein shall have the meanings given to them in the Bar

Date Order.



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Uniti Group, Inc. Securities Litigation c/o KCC LLC P.O. Box 6159 Novato, CA 94948-6159

www.UnitiGroupSecuritiesLitigation.com

Inquiries, other than requests for information about the status of a claim, may also be made to Co-Lead Counsels

LABATON SUCHAROW LLP Christine M. Fox, Esq. 140 Broadway, New York, NY 10005 settlementquestions@labaton.com 1-888-219-6877

#### **ROBBINS GELLER RUDMAN & DOWD LLP**

Debra J. Wyman, Esq. 655 W. Broadway, Suite 1900 San Diego, CA 92101 settlementinfo@rgrdlaw.com 1-800-449-4900

If you are a Settlement Class Member, to be eligible to share in the distribution of the Net Settlement Fund, you must submit a Claim Form *posturated or submitted online*, to be engible to share in the distribution of the Frederichter in and, you must submit a chain a valid Claim Form, you will not be eligible to share in the distribution of the Net Settlement Fund, but you will nevertheless be bound by all judgments or orders entered by the Court, whether favorable or unfavorable.

Any objections to the proposed SetUment Cost of SetUment Cost of Cost of the distribution of the distribut

Any objections to the proposed Settlement, Co-Lead Counsel's Fee and Expense Application, and/or the proposed Plan of Allocation must be filed with the Court, either by mail or in person, and be mailed to counsel for the Parties in accordance with the instructions in the Notice, such that they are *received no later than October 14, 2022*.

PLEASE DO NOT CONTACT THE COURT, DEFENDANTS, OR DEFENDANTS' COUNSEL REGARDING THIS NOTICE

BY ORDER OF THE COURT THE HONORABLE BRIAN S. MILLER

UNITED STATES DISTRICT JUDGE <sup>1</sup> Uniti Securities means Uniti common stock, call or put options of Uniti and the following bonds issued by Uniti and/or its subsidiaries: (i) 6.00% Senior Secured Notes due April 15, 2013 (CUSIP No. 20341WAA3); (ii) 8.25% Senior Notes due October 15, 2013 (CUSIP No. 20341WAD7); and (iii) 7.125% Senior Unsecured Notes due December 15, 2014 (CUSIP No. 20341WAE5).

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#### NOTICE OF DISPOSITION AT PUBLIC AUCTION SALE

NOTICE IS HEREBY GIVEN that on Tuesday, August 30, 2022, at 10:00 AM. (PDT) (the "Auction Date"), Sabag Holdings, LLC (the "Secured Party") intends to sell at a public sale auction (the "Auction") the collateral (defined below) to the highest Qualified Bidder (defined in the Terms of Sale, but including the requirement that any such Qualified Bidder must be either a "qualified institutional buyer" within the meaning of Rule 144A under the Securities Act of 1933, as amended or "accredited investor" in reliance on Rule 506(c) under the Securities Act ("Rule 506(c)) in a public auction (the "Auction") in accordance with Section 9 610 of the Uniform Commercial Code as enacted in the State of California ("UCC"):

The Collateral is to be sold in two (2) Lots which Lots will not be further divided or sold in any lesser amounts. The Collateral consists of all right, title and interest of SoCal Building Ventures, LLC, a Delaware limited liability company ("Debtor"), in and to the following Shares and Membership Units (collectively, the "Collateral") -

(i) LOT 1 - 5,000 shares of stock in aggregate represented by Share Certificate numbers, 4, 5, 6, 7 and 8, each reflecting 1,000 shares, in and to Valley Collective Care, Inc. a California corporation (collective), the "Shares", which interest is purported to constitute fifty percent (50%) of the total outstanding share in Valley Collective Care, Inc.; and (i)

(ii) LOT 2-50 membership units in aggregate represented by Membership Certificate numbers, 1, 2, 3, 4 and 6, each reflecting 100 membership units, in and to Saticoy Property Management, LLC, a California limited liability company (collective), the "Membership Units", which interest is purported to constitute fifty percent (50%) of the total outstanding membership interests in Saticoy Property Management, LLC.

The second process of the total outstanding membership interests in Saticy Property Management, LLC. Secured Party's interest in the Collateral is set forth pursuant to the terms of that (x) certain Secured Party, dated as of March 6, 2020 (the "Security Agreement 1"), entered into by and among Debtor and Secured Party, to secure the amounts due pursuant that certain Secured Promissory Note in the original principal amount of Five Million Dollars (\$5,000,000) dated December 31, 2018, as amended from time to time (the "\$5M Note and the original dated as of March 6, 2020 (the "Security Agreement 2"), entered into by and among Debtor and Secured Party, to secure the amounts due pursuant that certain Secured Promissory Note in the original principal amount of Five Million Dollars (\$5,000,000) dated December 31, 2018, as amended from time to time (the "\$5M Note and the original collectively the "Security Agreement 2"), entered into by and among Debtor and Secured Party, to secure the amounts due pursuant that certain Secured Promissory Note in the original collectively thit Security Agreement 4"), each Individually a "Secured Note" and collectively the "Secure Notes" and collective the "Security Agreement 2", and timividually a "Secured Note" and collectively the "Secured Note" and collective the "Security Agreement 2"), the "Loan Documents" as each individually a "Secured Note" and collectively the amoded, modified or supplemented from time to time). In light of local, state and/or federal COVID-19 related laws, rules and/or regulations that may be in effect at the time of the Auction will be held on the Auction Date by video and tele-conference (the site of which shall be referred to as the "Wirtual Auction Site") the details of which shall be available at the website of Brann International as follows:

#### https://www.braunco.com/world/worldbid-auctions-business-asset-services/

The Auction will be conducted at the Virtual Auction Site only. The Auction will be conducted by Braun International together with GT Securities (broker dealer license number CRD#: 116012/SEC#: 8-53527 (collectively, "Auctioneer"), acting solely in its capacity as auctioneer, on behalf of the Secured Party. Secured Party reserves the right to postpone, continue or cancel the Auction in its sole discretion.

Only Qualified Bidders as set forth in the Qualified Bidder Requirements which can be found at the Virtual Auction Site, will be permitted to participate in the Auction. In advance of the Auction, Qualified Bidders only will be provided a participant access code by the Auctioneer for participation and bidding at the Virtual Auction Site.

The Secured Party reserves the right to (a) remove one or both Lots from the sale, (b) terminate the sale in whole or in part, or to adjourn the sale to such other date and time as the Secured Party may deem proper, by announcement (x) prior to the Auction Date, (y) on the Auction Date (but prior to the start of the bidding at the Auction) on the Virtual Auction Site, or (z) at any subsequent adjournment of the Auction (at such adjourned date and location of the Auction), without further publication, (c) waive any formalities or irregularities in any bid, (d) negotiate, re-offer or not sell one or both Lots of the Collateral, (e) reopen bids, (f) settle disputes between bidders and/or (g) resolve all other sale matters in its sole discretion.

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# Labaton Sucharow LLP and Robbins Geller Rudman & Dowd LLP Announce Proposed Settlement in the Uniti Group Inc. Securities Litigation

NEWS PROVIDED BY Labaton Sucharow LLP and Robbins Geller Rudman & Dowd LLP → Aug 17, 2022, 08:00 ET

SAN DIEGO, Aug. 17, 2022 /PRNewswire/ -- The following statement is being issued by Labaton Sucharow LLP and Robbins Geller Rudman & Dowd LLP regarding the Uniti Group Inc. Securities Settlement:

## UNITED STATES DISTRICT COURT

## EASTERN DISTRICT OF ARKANSAS

#### In re UNITI GROUP INC. SECURITIES

LITIGATION	) Master File No. 4:19-cv-00756-BSM
	)
This Document Relates To:	) <u>CLASS ACTION</u>
	)
	) SUMMARY NOTICE OF PENDENCY AND
ALL ACTIONS.	) PROPOSED SETTLEMENT OF CLASS
	) ACTION AND MOTION FOR
	) ATTORNEYS' FEES AND EXPENSE

To: all persons and entities that purchased or otherwise acquired Uniti Group Inc. (f/k/a Communications Sales & Leasing, Inc. ("Uniti")) Securities during the period from April 24, 2015 through June 24, 2019, inclusive, and were allegedly damaged thereby (the "Settlemerrit YOU ARE HEREBY NOTIFIED, pursuant to Rule 23 of the Federal Rules of Civil Procedure and an Order of the United States District Court for the Eastern District of Arkansas, that Lead Plaintiffs Steamfitters Local 449 Pension Plan, Wayne County Employees' Retirement System, and David McMurray, on behalf of himself and as sole beneficiary of the David McMurray R/O IRA (together, "Plaintiffs"), and Zhengxu He, Trustee for the He & Fang 2005 Revocable Living Trust, on behalf of themselves and all members of the Settlement Class, and Defendants Uniti, Kenneth A. Gunderman and Mark A. Wallace (collectively with Uniti, the "Defendants" and, together with Plaintiffs, the "Parties"), have reached a proposed settlement of the claims in the above-captioned class action (the "Action") and related claims in the amount of \$38,875,000 (the "Settlement").

A hearing will be held before the Honorable Brian S. Miller on November 4, 2022 at 11:00 a.m. CDT, remotely, via videoconference (the "Settlement Hearing") to determine whether the Court should: (i) approve the proposed Settlement as fair, reasonable, and adequate; (ii) dismiss the Action with prejudice as provided in the Stipulation and Agreement of Settlement, dated June 17, 2022; (iii) approve the proposed Plan of Allocation for distribution of the proceeds of the Settlement (the "Net Settlement Fund") to Settlement Class Members; and (iv) approve Co-Lead Counsel's Fee and Expense Application. To join on your computer or mobile app, please download Microsoft Teams and enter 265 481 733 390 for the meeting ID and RCEzJP for the passcode. To join with a videoconferencing device, go to teams@meet.vc.uscourts.gov and enter 118 555 112 9 for the meeting ID. The Court may change the date and time of the Settlement Hearing without providing another notice. You do NOT need to participate in the Settlement Hearing to receive a distribution from the Net Settlement Fund.

**IF YOU ARE A MEMBER OF THE SETTLEMENT CLASS, YOUR RIGHTS WILL BE AFFECTED BY THE PROPOSED SETTLEMENT AND YOU MAY BE ENTITLED TO A MONETARY PAYMENT.** If you have not yet received a full Notice and Claim Form, you may obtain copies of these documents by visiting the website for the Settlement, www.UnitiGroupSecuritiesLitigation.com, or by contacting the Claims Administrator at:

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c/o KCC LLC P.O. Box 6159 Novato, CA 94948-6159 www.UnitiGroupSecuritiesLitigation.com

Inquiries, other than requests for information about the status of a claim, may also be made to Co-Lead Counsel:

### LABATON SUCHAROW LLP

Christine M. Fox, Esq. 140 Broadway New York, NY 10005 settlementquestions@labaton.com 1-888-219-6877

### **ROBBINS GELLER RUDMAN & DOWD LLP**

Debra J. Wyman, Esq. 655 W. Broadway, Suite 1900 San Diego, CA 92101 settlementinfo@rgrdlaw.com 1-800-449-4900

If you are a Settlement Class Member, to be eligible to share in the distribution of the Net Settlement Fund, you must submit a Claim Form **postmarked or submitted online no later than December 1, 2022**. If you are a Settlement Class Member and do not timely submit a valid Claim Form, you will not be eligible to share in the distribution of the Net Settlement Fund, but you will nevertheless be bound by all judgments or orders entered by the Court, whether favorable or unfavorable.

If you are a Settlement Class Member and wish to exclude yourself from the Settlement Class, you must submit a written request for exclusion in accordance with the instructions set forth in the Notice so that it is **received no later than October 14, 2022**. If you properly exclude yourself

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from the Settlement Class, you will not be bound by any judgments or orders entered by the Court, whether favorable or unfavorable, and you will not be eligible to share in the distribution of the Net Settlement Fund.

Any objections to the proposed Settlement, Co-Lead Counsel's Fee and Expense Application, and/or the proposed Plan of Allocation must be filed with the Court, either by mail or in person, and be mailed to counsel for the Parties in accordance with the instructions in the Notice, such that they are received no later than October 14, 2022.

## PLEASE DO NOT CONTACT THE COURT, DEFENDANTS, OR DEFENDANTS' COUNSEL **REGARDING THIS NOTICE**

DATED: August 17, 2022 THE COURT

THE HONORABLE

**BRIAN S. MILLER** 

DISTRICT JUDGE

<sup>1</sup> Uniti Securities means Uniti common stock, call or put options of Uniti and the following bonds issued by Uniti and/or its subsidiaries: (i) 6.00% Senior Secured Notes due April 15, 2013 (CUSIP No. 20341WAA3); (ii) 8.25% Senior Notes due October 15, 2013 (CUSIP No. 20341WAD7); and (iii) 7.125% Senior Unsecured Notes due December 15, 2014 (CUSIP No. 20341WAE5).

SOURCE Labaton Sucharow LLP and Robbins Geller Rudman & Dowd LLP

BY ORDER OF

UNITED STATES

# Exhibit C

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# **Exclusion Cover Page**

Case Name: Uniti Group Inc, Securities Litigation

Case Code: UII

Exclusion Deadline: October 14, 2022 (received no later than)

Name of Person Filing Exclusion: Joanne Covington

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August 9, 2022

To; Vinite Group Inc. Securities Letigation CO KCC LLC Exclusions P.D. Dox 5100 Larkspur, CA 94977-5100 I am requesting to be excluded from the Settlement Class in In Re Unite Group Inc. SEC. LITIG Master File No. 4; 19 - CV - 00756-BSM (E.D. ARK) My name is Jo anne Coungton Overland Park, KS 66223 When & worked for sprint the employees were given a certain number of shares of istock. I've been retired for twenty years, and I have no idea about the number

and type of Uniti securities I had These securities were a part of some acquistion Sprint made.

\$ have been receiving \$ 32.00 in dividends, if this will give you some ida

Sincerely, Jo anne Covengton

4:19-<u>64-09/30/22</u> MISSION, KS 66223-3113 10 AUG 2022 PM 6 L Vinite Group Inc Securities Litigation % KCC LLC ant tain Anti-AEX4研 Edelusions P-0. Box 5100 Larkspur, CA 94977-5100 

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# **Exclusion Cover Page**

Case Name: Uniti Group Inc, Securities Litigation

Case Code: UII

Exclusion Deadline: October 14, 2022 (received no later than)

Name of Person Filing Exclusion: Eugene C. Rainis

#### E<u>ugene C. Raini</u>s

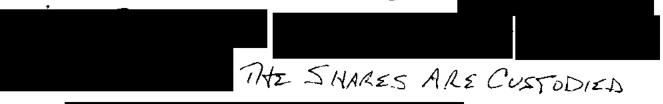
PALM CITY, FL 34990

SEPTEMBER 11, 2022

RE: UNITI GROUP, INC. SECURITES LITIGATION DEAR MADAMORS, R!

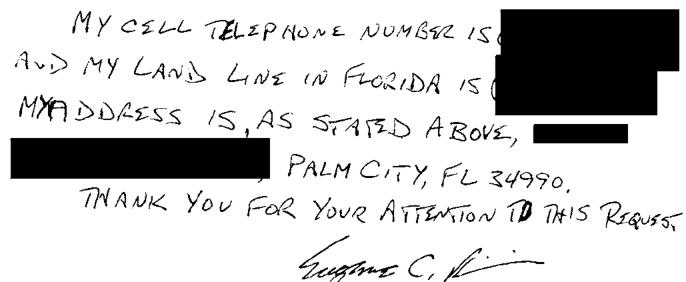
I, THE UNDERSIGNED, ZUGENE C. RAIN'S OWN

26,600 COMMON SHARES OF LINIFISMOUP INC. AND I ACQUIRED THESE SHARES ON APRIL 24, 2015 AT THE PRICE OF \$7.08 PER SHARE, I STILL OWN THE 26,600 SHARES; NO SHARES HAVE BEEN SOLD, THE SHARES ARE HELD IN



ВY

I REQUEST TO BE EXCLUDED FROM THE SETTLEMENT CLASS IN INRELINITIGROUP INC, SEC LITIG., MASTER FILE NO 4:19-OV-00756-BSM (E.D. ARK)





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# **Exclusion Cover Page**

Case Name: Uniti Group Inc, Securities Litigation

Case Code: UII

Exclusion Deadline: October 14, 2022 (received no later than)

Name of Person Filing Exclusion: Bonnie Stephenson

To whom it may concern,

1 Bonnie Stephenson

Plymouth, In. 46563

Wish to exclude myself from the Settlement class in In re Uniti Group Inc. Sec. Litig; Master file No. 4:19-cv-00756-BSM (E.D. Ark.)

I had at time of litigation 5 shares of common stock received on 2-27-2017 at a price of \$28.97 per share. I sold stock on 7-27-2022.

Please take my name off this litigation. Thank you.

Sincerely,

Bannie Stephenson

**Bonnie Stephenson** 

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DECENVED SEP 2 2 2022	niti George Onc. c/o Kcc LLC Enclusions P.O. Box 5100 Barlespen, Ca. 94977	Securities Litig -5100	petión	
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## **Exclusion Cover Page**

Case Name: Uniti Group Inc, Securities Litigation

Case Code: UII

Exclusion Deadline: October 14, 2022 (received no later than)

Name of Person Filing Exclusion: Robert St Hilaire & Carolyn Taylor

Good afternoon,

Please see the below language from the Notice on requesting exclusion from the settlement. Please note that your request to opt out of the Settlement cannot be accepted by email. You must follow the directions found in the Notice. You may view a copy of the Notice on the Settlement website <a href="https://www.UnitiGroupSecuritiesLitigation.com">www.UnitiGroupSecuritiesLitigation.com</a>.

To exclude yourself from the Settlement Class, you must mail a signed letter stating that you request to be "excluded from the Settlement Class in In re Uniti Group Inc. Sec. Litig., Master File No. 4:19-cv-00756-BSM (E.D. Ark.)." You cannot exclude yourself by telephone or e-mail. Each request for exclusion must also: (i) state the name, address, and telephone number of the person or entity requesting exclusion; (ii) state the number and type of Uniti Securities the person or entity purchased, acquired, and sold during the Class Period, as well as the dates and prices of each such purchase, acquisition, and sale; and (iii) be signed by the Person requesting exclusion or an authorized representative. A request for exclusion must be mailed so that it is received no later than October 14, 2022 at: Uniti Group Inc. Securities Litigation c/o KCC LLC EXCLUSIONS P.O. Box 5100 Larkspur, CA 94977-5100 43. This information is needed to determine whether you are a member of the Settlement Class. Your exclusion request must comply with these requirements in order to be valid.

Please let us know if you have any further questions.

Regards,

**Claims Administrator** 

Uniti Group Securities Litigation

info@UnitiGroupSecuritiesLitigation.com

Subject: I hereby give notice to be excluded from this litigation, class action suit master file number 4:19-cv-00756-BSM UNITI GROUP INC. SECURITIES LITIGATION

Robert St Hilaire Carolyn Taylor (wffe) - Show quoted text -

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Pulmonast FL

1040 Shares Cost 1040 Shares Cost aquired on Aug 29 2015

Case 4:19-cv-00756-BSM Document 135-4 Filed 09/30/22 Page 56 of 62 R ST. HIGINE ORLANDO FL 328 Thur Ŧ 23 SEP 2022 PM 6 L Palm Coast, FL 32137 Uniti Group Inc. Sec hit. 010 KCC LLC EXClusions P.O. Box 5100 Larkspur, CA 94977-5100 43 **RECEIVED GE** SEP 2 8 2022 SEP 2 8 2022 կինելիներնություններներներներություններիներին 94977-510000

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# **Exclusion Cover Page**

Case Name: Uniti Group Inc, Securities Litigation

Case Code: UII

Exclusion Deadline: October 14, 2022 (received no later than)

Name of Person Filing Exclusion: Franklin R. Town Jr.

Franklin R.Town Jr.

Annville, Pennsylvania 17003

09/22/2022

Uniti Group Inc, Securities Litigation

C/O KCC LLC

EXCLUSIONS

P.O.80x5100

Larkspur, California 94977-5100

To Whom It May Concern,

On February 03,2015 I acquired 97 common stock shares of Unity Group, Inc. at \$32.26 per share. The purchase does not appear to be in your class period.

I am writing today to request that I be EXCLUDED from the settlement class in In re Uniti Group, Inc.Sec.Litig., Master File No.4:19-cv-00756-BSM (E.D.ARK.).

My address is :

Annville, PA 17003.

If you have any questions or concerns please do not hesitate to contact me. Thank You.

Regards, hul

Franklin R. Town Jr.



HARRISBURG PA 171



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UNITY GROUP Inc., Securities LATIGNATION 10 KCC LLC xausions 0, BOX 5100 CA -AEKSPUS 949775100 94977-510000

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# **Exclusion Cover Page**

Case Name: Uniti Group Inc, Securities Litigation

Case Code: UII

Exclusion Deadline: October 14, 2022 (received no later than)

Name of Person Filing Exclusion: John Kupka

September 23, 2022

Uniti Group Inc. Securities Litigation;

I am requesting exclusion from the "Settlement Class in In re Uniti Group Inc. Sec. Litig.

Master File No. 4:19-cv-00756-BSM (E.D. Ark.) .

John Kupka Waretown, New Jersey 08758

140 shares of Communications Sales and Lease Inc.(CSAL) was acquired on April 27, 2015 @ \$27.51 / share through a spin-off from Windstream Holdings Inc. (WIN).

On February 27, 2017 name changed from Communications Sales and Lease Inc. to Uniti Group Inc. (UNIT).

On November 6, 2017 140 shares of Uniti Group Inc. was sold @ \$16.50 / share.

John Kupka

